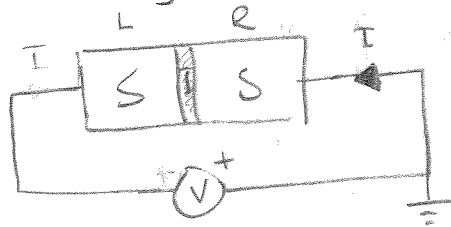


# SIS Tunneling

Consider two superconductors separated by an insulating barrier.



The tunneling rate from L to R is proportional to the density of occupied states in metal L times the density of unoccupied states in metal R times the tunneling probability.

$$\Gamma_{L \rightarrow R} = \frac{2\pi A}{\hbar} \int_{-\infty}^{\infty} |T_{LR}|^2 N_L(\epsilon + eV) f(\epsilon + eV) N_R(\epsilon) (1 - f(\epsilon)) d\epsilon$$

$\epsilon$  energy counted from the Fermi energy of metal R

Similarly the current from R to L is

$$\Gamma_{R \rightarrow L} = \frac{2\pi A}{\hbar} \int_{-\infty}^{\infty} |T_{RL}|^2 N_L(\epsilon - eV) (1 - f(\epsilon - eV)) N_R(\epsilon) f(\epsilon) d\epsilon$$

Assume  $|T_{LR}|^2 = |T_{RL}|^2 = |T|^2 = \text{indep of } \epsilon$

Then

$$I = -e(\Gamma_{R \rightarrow L} - \Gamma_{L \rightarrow R}) = \frac{2\pi A |T|^2}{\hbar} \int_{-\infty}^{\infty} N_L(\epsilon - eV) N_R(\epsilon) (f(\epsilon - eV) - f(\epsilon)) d\epsilon$$

In the normal state  $N_L(\epsilon) = N_R(\epsilon) = N(0)$  and we get

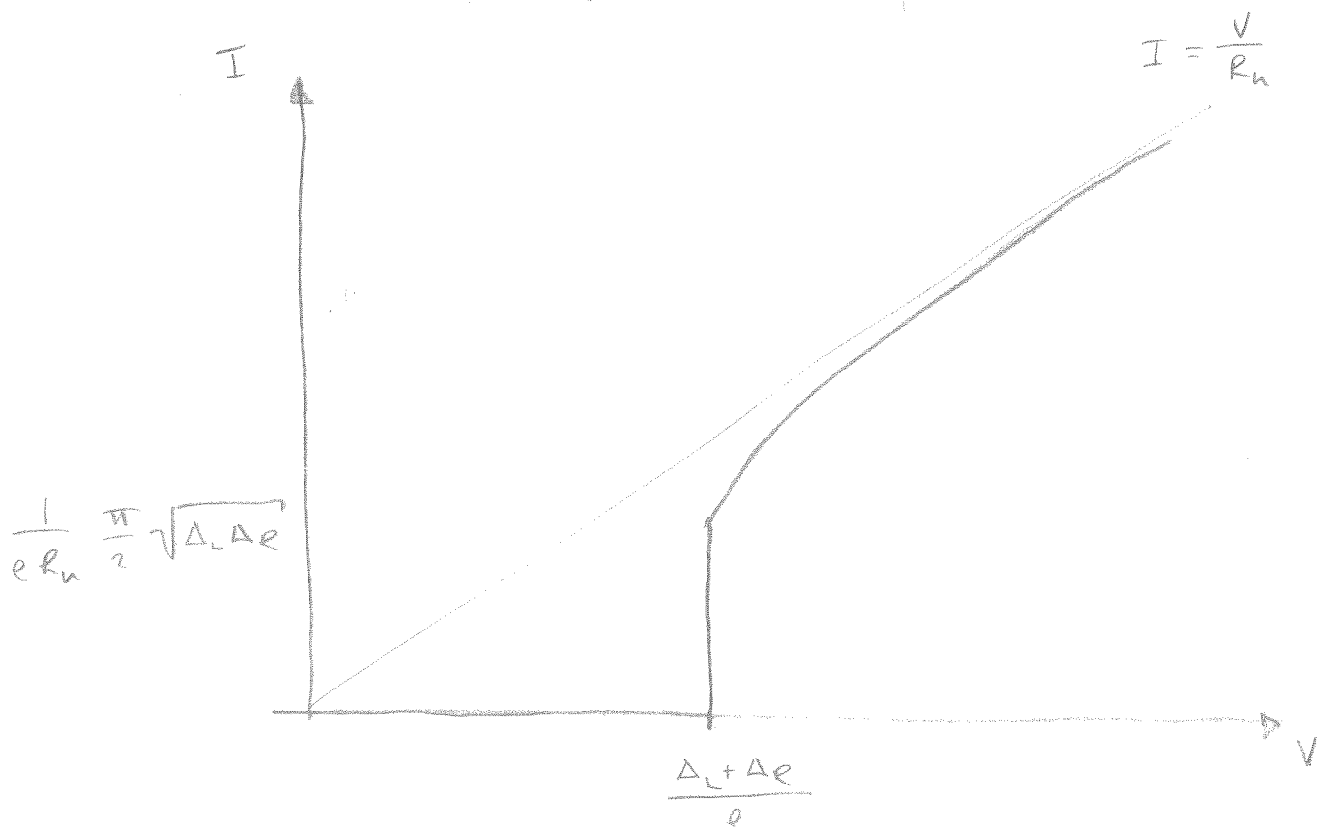
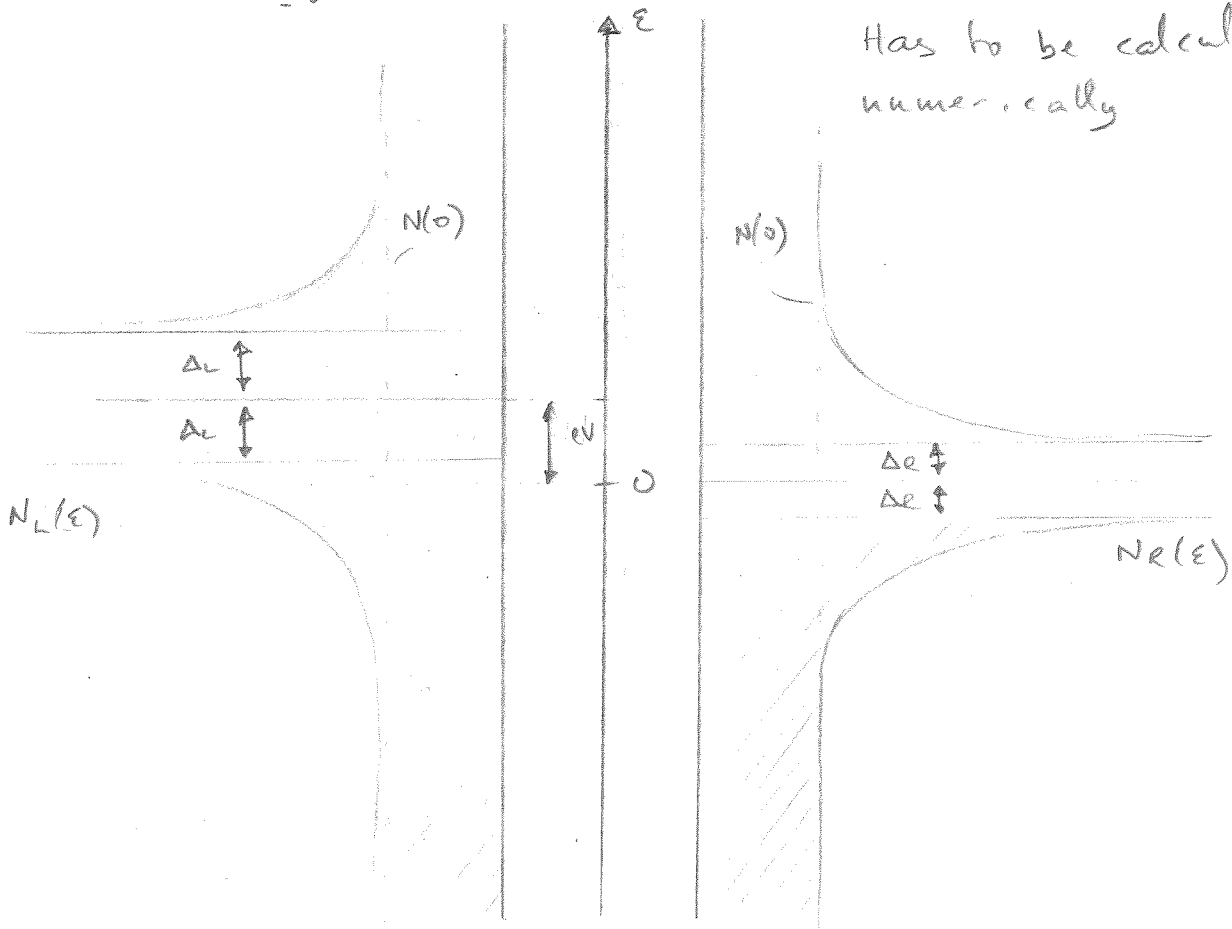
$$I = \frac{2\pi e A |T|^2}{\hbar} N(0)^2 \int_{-\infty}^{\infty} (f(\epsilon - eV) - f(\epsilon)) d\epsilon$$

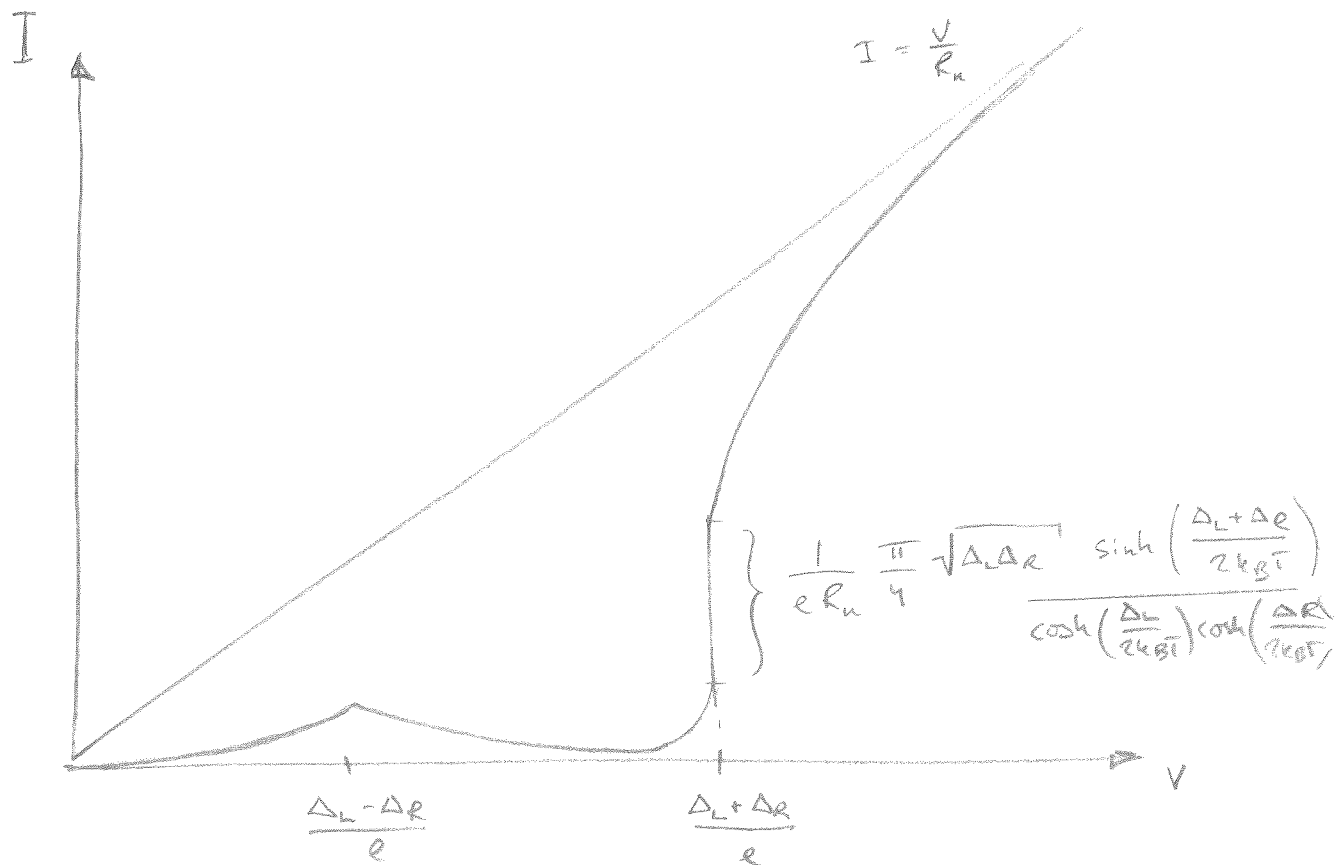
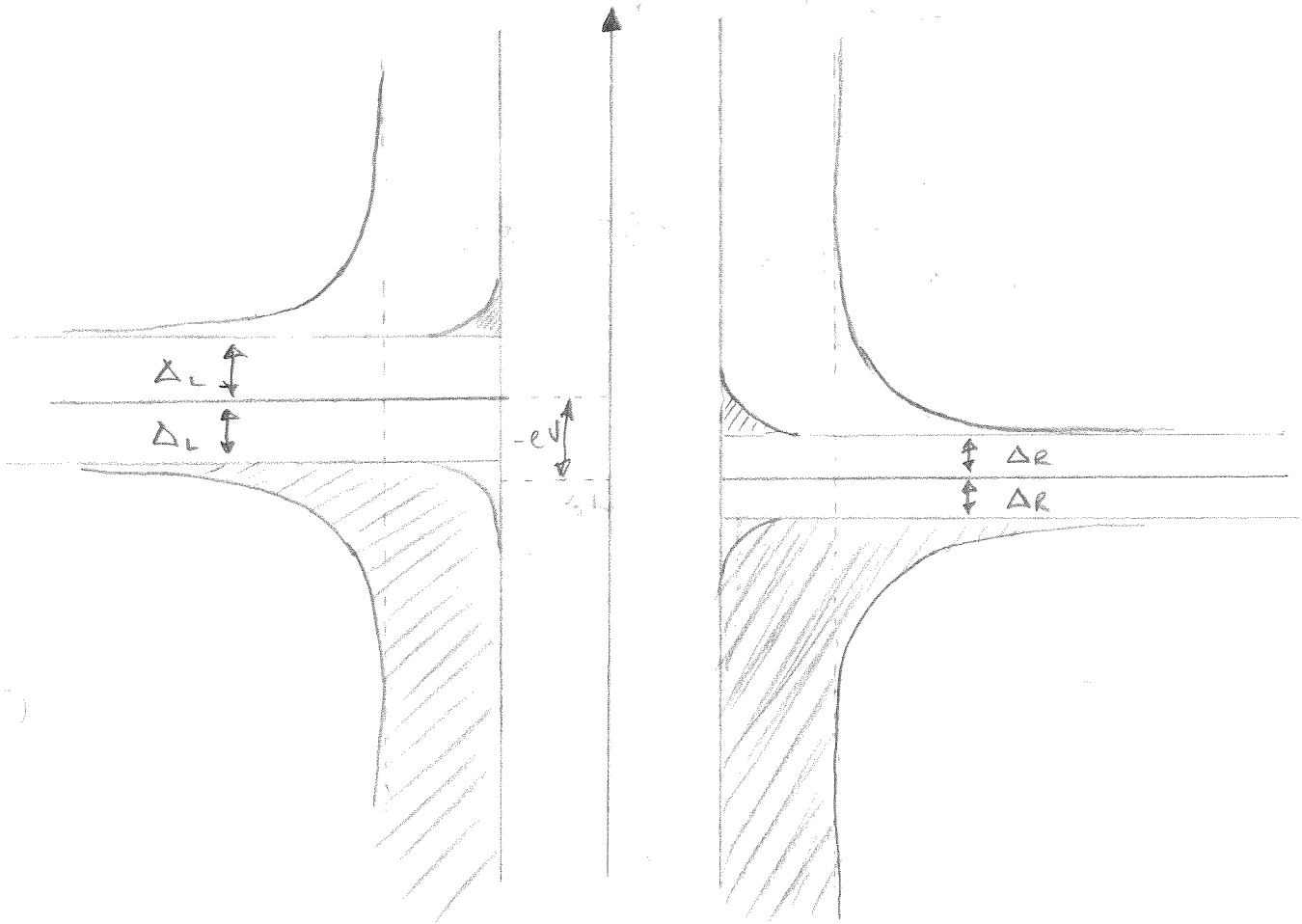
$$= \frac{2\pi e^2 A |T|^2}{\hbar} N(0)^2 \cdot V \approx eV$$

$$= C_u = \frac{1}{R_u}$$

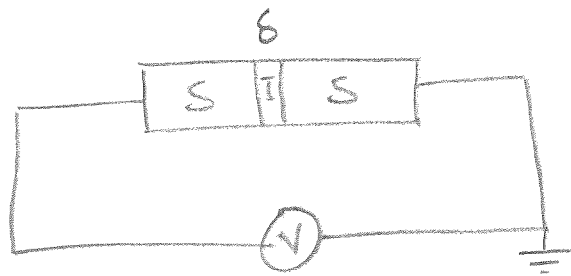
In the superconducting state  $N(\epsilon) = N(0) \frac{|\epsilon|}{\sqrt{\epsilon^2 - \Delta^2}}$ ,  $|\epsilon| > \Delta$   
 $|\epsilon - eV| < \Delta_L$  and  $|\epsilon| < \Delta_R$  has to be excluded from the integration

$$I = \frac{1}{eR_n} \int_{-\infty}^{\infty} \frac{|\epsilon - eV|}{\sqrt{(\epsilon - eV)^2 - \Delta_L^2}} \frac{|\epsilon|}{\sqrt{\epsilon^2 - \Delta_R^2}} (f(\epsilon - eV) - f(\epsilon)) d\epsilon$$





## Shapiro steps



Josephson equations:

$$(DC) \quad I = I_c \sin \delta \quad (1)$$

$$(AC) \quad V = \frac{\hbar}{2e} \dot{\delta} \quad (2)$$

Consider the case

$$V = V_0 + V_1 \cos \omega_1 t$$

From (2) we get

$$\dot{\delta} = \frac{2e}{\hbar} (V_0 + V_1 \cos \omega_1 t)$$

$$\delta = \delta_0 + \underbrace{\frac{2e}{\hbar} V_0 t}_{= \omega_0} + \frac{2e}{\hbar \omega_1} V_1 \sin \omega_1 t$$

Inserted into (1) this gives

$$I = I_c \sin \left( \delta_0 + \omega_0 t + \frac{2eV_1}{\hbar \omega_1} \sin \omega_1 t \right) \quad (3)$$

$$\begin{aligned}
 e^{i\frac{x}{2}(t - \frac{1}{2})} &= \sum_{n=-\infty}^{\infty} J_n(x) t^n \\
 t = e^{i\theta} \Rightarrow e^{ix \sin \theta} &= \sum_{n=-\infty}^{\infty} J_n(x) e^{in\theta} \\
 e^{i(x_0 + x \sin \theta)} &= \sum_{n=-\infty}^{\infty} J_n(x) e^{i(x_0 + n\theta)}
 \end{aligned}$$

$$\begin{aligned}
\sin(x_0 + x \sin \theta) &= \frac{1}{2i} \sum_{n=-\infty}^{\infty} \left( J_n(x) e^{i(x_0 + n\theta)} - \underbrace{J_n(-x)}_{=(-1)^n J_n(x)} e^{i(-x_0 + n\theta)} \right) \\
&= \frac{1}{2i} \sum_{n=-\infty}^{\infty} \left\{ \underbrace{J_{-n}(x)}_{=(-1)^n J_n(x)} e^{i(x_0 - n\theta)} - (-1) J_n(x) e^{i(-x_0 + n\theta)} \right\} \\
&= \sum_{n=-\infty}^{\infty} (-1)^n J_n(x) \frac{e^{i(x_0 - n\theta)} - e^{-i(x_0 - n\theta)}}{2i} \\
&= \sum_{n=-\infty}^{\infty} (-1)^n J_n(x) \sin(x_0 - n\theta)
\end{aligned}$$

The current can therefore be written

$$I = I_c \sum_{n=-\infty}^{\infty} (-1)^n J_n \left( \frac{2eV_0}{h\omega_1} \right) \sin(\delta_0 + (\omega_0 - n\omega_1)t)$$

$$\text{If } \omega_0 = n\omega_1 \Leftrightarrow \frac{2eV_0}{h} = n\omega_1 \Rightarrow V_n = \frac{n \cdot h\omega_1}{2e}$$

The current has a dc component with maximum value

$$I_c J_n \left( \frac{2eV_0}{h\omega_1} \right)$$

# Photon-assisted tunneling

$$V(t) = V_0 + V_1 \cos \omega_1 t$$

$$\begin{aligned} \Psi_i(x,t) &= \Psi_i(x,0) e^{-\frac{i}{\hbar} \int_0^t dt (\epsilon_i + eV)} = e^{ix \sin \theta} = \sum_{n=-\infty}^{\infty} J_n(x) e^{in\theta} \\ &= \Psi_i(x,0) e^{-\frac{i}{\hbar} (\epsilon_i + eV_0)t} \sum_{n=-\infty}^{\infty} J_n\left(\frac{eV_1}{\hbar\omega_1}\right) e^{-in\omega_1 t} \\ &= \Psi_i(x,0) \sum_{n=-\infty}^{\infty} J_n\left(\frac{eV_1}{\hbar\omega_1}\right) e^{-\frac{i}{\hbar} (\epsilon_i + eV_0 + n\hbar\omega_1)t} \end{aligned}$$

Each energy level is split into many levels differing by  $\hbar\omega_1$  in energy.

