

## Chapter 1

### A Review of Materials Science

(This is the most effectual chapter: shortly about nearly everything; it is advised that you study this chapter very thoroughly)

#### 1.1. Introduction

#### 1.2. Structure

(Amorphous & crystalline solids, 14 Bravais lattices, the Miller indices, X-ray diffraction)

#### 1.3. Defects in Solids

(Vacancies, dislocations and their types, grain boundaries)

#### 1.4. Bonds and Bands in Materials

(Bonding in solids: the main principle; four classes of solid, examples of each class, energy-band diagrams and a difference between a metal and insulator/semiconductor)

#### 1.5. Thermodynamics of Materials

(the Gibbs free energy; consequence of the II Law of Thermodynamics; equilibrium in chemical reactions; feasibility of a chemical reaction; super- and sub- saturation of species; Le Châtelier's principle; the Gibbs phase rule; one- and two-component systems: Fig.1-13, level rule )

#### 1.6. Kinetics

(Fick's laws of diffusion, units of diffusion coefficient, mass flux etc.; non-steady equation and its solutions: drive-in and constant-source, diffusional atom motion and atom transport in a force field)

#### 1.7. Nucleation

(Vapor supersaturation, critical radius and free-energy change, nucleation rate: eq.1-42 and description around it)

#### 1.8. An Introduction to Mechanical Behavior

(Definitions of stress & strain, plastic vs. elastic behavior, stresses in films and their reason)

## Chapter 2

### Vacuum Science and Technology

#### 2.1. Introduction

#### 2.2. Kinetic Theory of Gases

(Molecular velocities; Maxwell-Boltzmann distribution, most probable, average and mean square velocities <N.B.: in the book, it is

written  $\bar{v}^2$  which is wrong, it should be  $\overline{v^2}$ ); gas pressure and its units; mean-free path; gas impingement on surface; perfect-gas law)

#### 2.3. Gas Transport and Pumping

(Three gas-flow regimes; conductance of pipes, parallel and series coupling; definition of pumping speed)

#### 2.4. Vacuum Pumps.

(Broad categories/classification; types of pumps and their principles of operation)

#### 2.5. Vacuum Systems

(pressure gauges for low and high vacuum: general classification; principle of operation for Pirani- and Penning-type gauges; given Fig.2-12, you should be able to describe a sequence of actions to manually operate the system, starting from loading samples in the chamber)

## Chapter 3

### Thin-Film Evaporation Processes

#### 3.1. Introduction

#### 3.2. The Physics and Chemistry of Evaporation

(Evaporation rate eq.3-2; vapor pressure of the elements  $\sim \exp(-A/T)$ ; evaporation of alloys, drawbacks and how to maintain melt stoichiometry)

#### 3.3. Film Thickness Uniformity and Purity

(Evaporation sources: point and surface; film-thickness uniformity, eqs. 3-20 & 3-22; evaporation scheme to achieve uniform deposition, Fig.3-9; coverage of steps and trenches: problems and tricks to handle it)

#### 3.4. Evaporation Hardware

(Typical resistive sources; e-beam evaporation, qualitative understanding of the heat balance in the e-beam evaporation source)

#### 3.5. Evaporation Processes and Applications

(PLD, characteristic energies, pulse width, typical repetition rate, pros and cons of PLD; web-coating: importance, problems, and how to handle them; ion-beam-assisted deposition: what is it good for? See also sec.5.5.4)

## Chapter 4

### Discharges, Plasmas, and Ion-Surface Interactions

#### 4.1. Introduction

#### 4.2. Plasmas, Discharges, and Arcs

(Broad definition of plasma, types and structures of discharges; Paschen's law Eq.4-4)

#### 4.3. Fundamentals of Plasma Physics

(Degree of gas ionization, particle typical energies/temperatures; definition of mobility; reason for electric-field development, eq.4-10; qualitative understanding of electron trajectories in combined electric and magnetic fields; why is it better?; what is the Debye length and plasma frequency, eqs.4-17&4-18; plasma criteria; RF plasma: reason for the cathode sheath & self-bias)

#### 4.4. Reactions in Plasmas

(energy transfer in elastic and inelastic collisions, eqs.4-27 & 4-28; different cross-sections)

#### 4.5. Physics of Sputtering

(intro to ion-surface interactions: sec.4.5.1; sputtering yield and 3 energy regimes of sputtering; qualitative dependence of yield on energy, Fig. 4-12 and angle, Fig.4-14; sputtering of alloys & step coverage: pros as compared to PVD; )

#### 4.6. Ion Bombardment Modification of Growing Films

(range of modifications; what characteristics can be modified by ion bombardment? P.186 top; ion-implantation and channeling: what is it good for?; Amorphization of films)

## Chapter 5

### Plasma and Ion Beam Processing of Thin Films

#### 5.1. Introduction

#### 5.2. DC, AC, and Reactive Sputtering Processes

(Common compounds reactively sputtered, p.216)

#### 5.3. Magnetron Sputtering

(pros and cons, sec.5.3.1&5.3.4.1; briefly configurations; film uniformity, step etc. coverage)

#### 5.4. Plasma Etching

(Mechanisms, sec. 5.4.3; qualitatively basic parameters: rate, selectivity & anisotropy)

#### 5.5. Hybrid and Modified PVD Processes

(Acquaint yourself with ion plating, arc-plasma deposition, IBAD, cluster-beam, and plasma-immersion; should know what all this is about)

## Chapter 6

### Chemical Vapor Deposition

#### 6.1. Introduction

(General steps, p. 279)

#### 6.2. Reaction Types

(List of reactions; good for you if you remember examples)

#### 6.3. Thermodynamics of CVD

(Reaction feasibility: read first sec 1.5! and go through the illustrative example on p.288; make sure you can Le Châtelier's principle)

#### 6.4. Gas Transport

(Read sec. 1.6; qualitative understanding of viscous vs. turbulent flow; role of diffusion and convection)

#### 6.5. Film Growth Kinetics

(Two basic geometries, Figs. 6-10 & 6-11; qualitative understanding of the boundary conditions (Fig.6-10a and p. 307) and resulting solutions, Eq. 6-40 and Fig.6-11b; mass-transfer- and surface-reaction limitations, Fig.6-12 and Eq. 6-48)

#### 6.6. Thermal CVD Processes

(Classifications, typical schematic of reactors, pros and cons)

#### 6.7. Plasma-Enhanced CVD Processes

(Laser- and plasma-enhanced CVD: qualitatively, why it is better or worse; FIB-assisted deposition)

#### 6.8. Some CVD Materials Issues

#### 6.9. Safety

### Chapter 7

## Substrate Surfaces and Thin-Film Nucleation

### 7.1. Introduction

(Basic modes of thin-film growth, Fig.7-2)

### 7.2. An Atomic View of Substrate Surfaces

(Atomic view of substrate surfaces, metals vs. non-metals; surface structures, Fig.7-5; reconstruction of Si surface; chemisorption vs physisorption, Fig. 7-10)

### 7.3. Thermodynamic Aspects of Nucleation

(See sec. 1.7; capillary theory: surface energies; notion of critical radius and critical change of free energy; film-growth modes and mismatch stresses, Fig.7-12; qualitatively: **nucleation dependence on substrate temperature and deposition rate**, Egs. 7-20–7-22, 7-24; Fig.7-13;)

### 7.4. Kinetic Processes in Nucleation and Growth

(reason for Ostwald ripening; other types of cluster fate; grain size, Eq.7-46;)

### 7.5. Experimental Studies of Nucleation and Growth

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### Chapter 8

## Epitaxy

### 8.1. Introduction

(Schematics of heterostructures, Fig. 8-1)

### 8.2. Manifestations of Epitaxy

(homo- and heteroepitaxy, graphoepitaxy, epitaxial relationships, Figs.8-4 & 8-5)

### 8.3. Lattice Misfit and Defects in Epitaxial Films

(notion of critical film thickness, p.429; types of crystal defects, Fig.8-10)

### 8.4. Epitaxy of Compound Semiconductors

(direct & indirect energy bandgaps; principle of designing epitaxial film-substrate combinations)

### 8.5. High-Temperature Methods for Depositing Epitaxial Semiconductor Films

(LPE, CVD)

### 8.6. Low-Temperature Methods for Depositing Epitaxial Semiconductor Films

(MBE, FIB-MOCVD)

### 8.7. Mechanisms and Characterization of Epitaxial Film Growth

(Principle of RHEED oscillations, Fig.8-36)

### Chapter 9

## Film Structure

### 9.1. Introduction

### 9.2. Structural Morphology of Deposited Films and Coatings

(Qualitative understanding of structure zones, Figs.9-2 & 9-3; columnar structure and tangent rule, Fig.9-5 and Eq.9-1; film density and microporosity)

### 9.3. Computational Simulations of Film Structure

### 9.4. Grain Growth, Texture, and Microstructure Control in Thin Films

(Notion of texture, Fig.9-12; sculptured films and ways to form them)

### 9.5. Constrained Film Structures

### 9.6. Amorphous Thin Films

(How to make amorphous films, p.542)

### Chapter 10

## Characterization of Thin Films and Surfaces

### 10.1. Introduction

(Acronyms, table 10-1; qualitative principles of operation)

### 10.2. Film Thickness

(Methods and limitations, table 10-2; Interferometry, Fig.10-1 and Eq.10-3; other optical methods; mechanical methods: profilometry, quartz microbalance, ultrasonic, fig.10-10)

### 10.3. Structural Characterization of Films and Surfaces

(SEM, TEM, FIB, X-Ray, STM, AFM: principles of operation, pros and cons)

### 10.4. Chemical Characterization of Surfaces and Films

(EDX, AES, XPS, RBS, SIMS: principles and limitations/advantages)

### Chapter 11

## Interdiffusion, Reactions, and Transformations in Thin Films

### 11.1. Introduction

### 11.2. Fundamentals of Diffusion

(the same as for sec.1.6; comparative diffusion mechanisms; the temperature dependence of the diffusion coefficients; diffusion couples, p.653; qualitative consequence of finite solubility & compound formation; oxide growth mechanism, p.657)

### 11.3. Interdiffusion in Thin Metal Films

### 11.4. Compound Formation and Phase Transformations in Thin Films

### 11.5. Metal-Semiconductor Reactions

### 11.6. Mass Transport in Thin Films under Large Driving Forces

(Qualitative reason for enhanced diffusion & migration, see sec.1.6; why is it bad?)

### Chapter 12

## Mechanical Properties of Thin Films

### 12.1. Introduction

### 12.2. Mechanical Testing and Strength of Thin Films

### 12.3. Analysis of Internal Stress

(Mechanical stresses in films and multilayers: choice of substrate, p.730 bottom and Fig.12-10; notion of thermal stress and its reason)

### 12.4. Techniques for Measuring Internal Stress in Films

(How to measure stresses in films, Fig.12-12, 12-15)

### 12.5. Internal Stresses in Thin Films and Their Causes

### 12.6. Mechanical Relaxation Effects in Stressed Films.

(Stress relief by building cracks and dislocations)

### 12.7. Adhesion

(Adhesion, 12.7.3; strategies for improving it; adhesion tests)

## **Photolithography (lecture notes)**

(Overview: major steps; importance of pre-bake; negative vs positive photoresists; three types of aligners; etch vs. liftoff processing; photolithography resolution: what limits it? Equations for  $2b_{\min}$  in contact and projection lithography; DOF and balance between DOF and resolution; ways to improve resolution and DOF)

## **E-Beam Lithography (lecture notes)**

(general classification; raster vs vector exposure; thermionic emission, notion of work function; dependence of emission current on temperature; notion of brightness; what limits resolution; non-idealities; types of e-lenses; registration marks, why are they needed? Effects in e-beam resists; proximity corrections, why?)