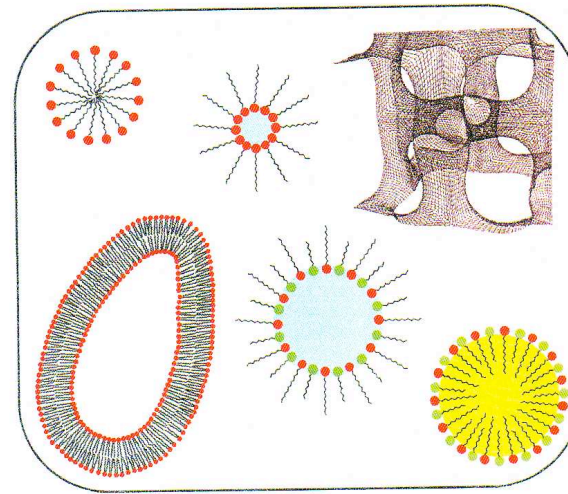
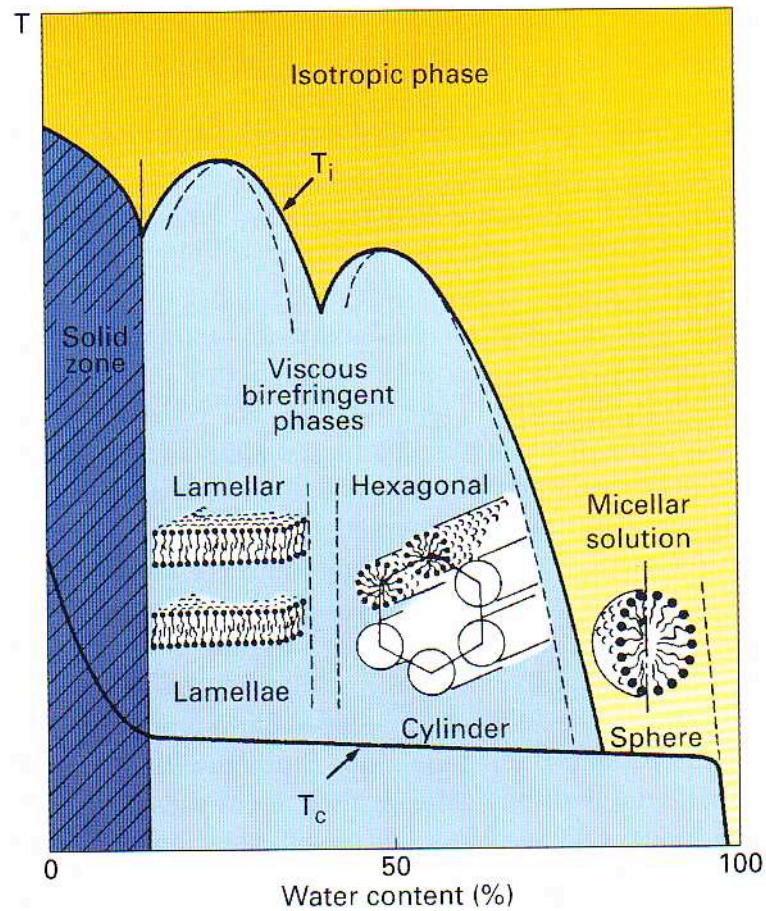


Self assembly & soft matter in nature



Literature

- Jones - chp. 9 - Self Assembly
- Jones - chp. 10 - Soft Matter in nature
- C. A. Angell, *Formation of Glasses from Liquids and Biopolymers*, Science 267, 1924 (31 March 1995)
- Materials Today: March and September issues (2006)
Templating materials with self assembly

Self-assembly

Characteristics of self-assembly

Self assembly with surfactants & amphiphiles

- *what/types*
- *energies*
- *aggregation geometries & phase behaviour*

Self assembly with polymers

- *phase separation*
- *structures*

4 Characteristics of Soft Matter

i) Length scales:

- Structures of ≈ 10 -1000 nm determine the properties

ii) Time scales: processes from 10^{-12} - 10^3 s

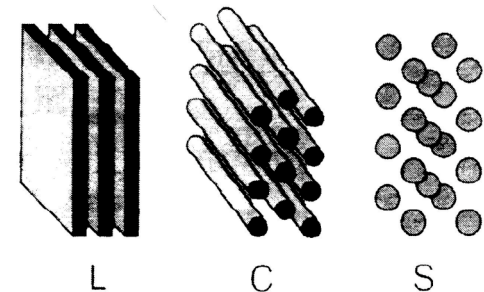
- Dynamics processes over a wide time scales 10^{-12} - 10^3 s
- Very slow processes in non-equilibrium configurations

iii) Weak interactions

- Interactions $\approx kT$

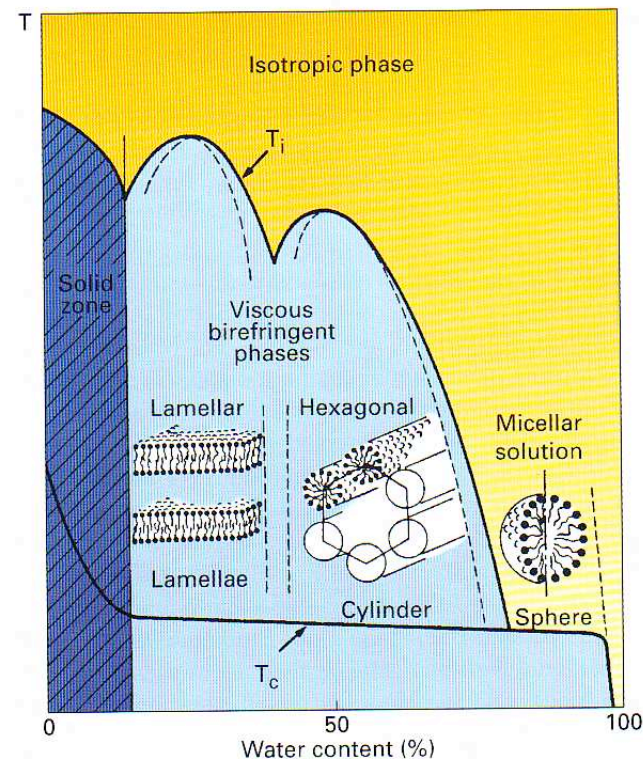
iv) Self assembly

- Hierarchical arrangement of structures
- Competition between interaction energy and entropy



Self-assembly

- i) Hierarchical arrangement of structures
- ii) Competition between interaction energy and entropy
- iii) Structures of ≈ 10 to >1000 nm formed and determine the properties of the material



Surfactants and amphiphiles

Amphiphilic molecule:

two parts with very different affinities

⇒ *surfactants, block co-polymers*

Surfactant:

an amphiphilic molecule with a tendency to adsorb onto free surfaces and interfaces (Swedish - *tensid*)

Surfactants and amphiphiles

Amphiphilic molecule:
two parts with very different affinities

Ex. hydrophilic head and hydrophobic tail



a single molecule is never really comfortable in a solvent
⇒ aggregation to minimize the interfacial energy
⇒ micro-phase separation, thermodynamically stable
aggregates - micelles

Surfactants and amphiphiles

Amphiphilic molecule:

two parts with very different affinities

Ex. hydrophilic head and hydrophobic tail



Hydrophobic tail: $\text{CH}_3(\text{CH}_2)_n^-$

Hydrophilic head:

Anionic (-)

Cationic (+)

non-ionic

block-copolymers

Small molecules $M_w \approx 100-1000$

Surfactants and amphiphiles

Amphiphilic molecule:
two parts with very different affinities

Ex. hydrophilic head and hydrophobic tail



Table 9.1 Examples of common amphiphiles

Example	Hydrophobic group	Hydrophilic group	Category	Where found
Sodium stearate	$C_{18}H_{37}$	$-COO^-Na^+$	Anionic	Soap
Sodium dodecyl sulphate (SDS)	$C_{12}H_{25}$	$-OSO_3Na^+$	Anionic	Detergents
Hexadecyl trimethyl-ammonium bromide (CTAB)	$C_{16}H_{33}$	$-N^+(CH_3)_3Br^-$	Cationic	Mild disinfectants
$C_{12}E_5$	$C_{12}H_{25}$	$-(OCH_2CH_2)_5$	Non-ionic	Cosmetics
Pluronic P105	$(OCH_2C_2H_5)_{58}$	Two chains, each $-(OCH_2CH_2)_{37}$	Non-ionic, triblock copolymer	Cosmetics, pharmaceuticals
Lecithin	Two chains, each $C_{12}H_{25}$	Phosphatidyl choline	Zwitterionic, phospholipid	Animal cell membranes, food

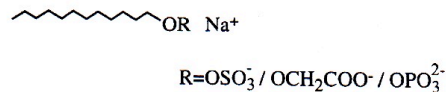
Surfactants and amphiphiles

Amphiphilic molecule:
two parts with very different affinities

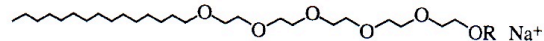
Ex. hydrophilic head and hydrophobic tail



Sodium alkyl sulphate/
carboxylate/phosphate



Sodium alkyl ether sulphate/
carboxylate/phosphate



Sodium bis(2-ethylhexyl)
sulfosuccinate
(Aerosol OT, AOT)

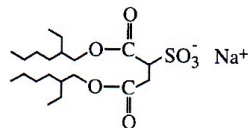
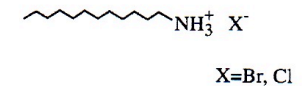
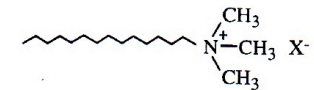


Figure 4.1 Typical anionic surfactants

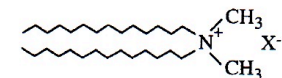
Fatty amine halide



Alkyl trimethylammonium
halide
(alkyl 'quat')



Dialkyl dimethylammonium
halide
(dialkyl 'quat')



Dialkyl ester dimethylammonium
halide
(ester 'quat')

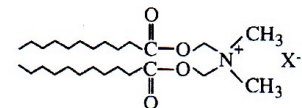


Figure 4.2 Typical cationic surfactants

Surfactants and amphiphiles

Ex. *hydrophilic head and hydrophobic tail*



Self-assembly of molecules in order to minimise free energy
⇒ minimising the contact between water (solvent) and hydrophobic tail while keeping the hydrophilic head in contact with water

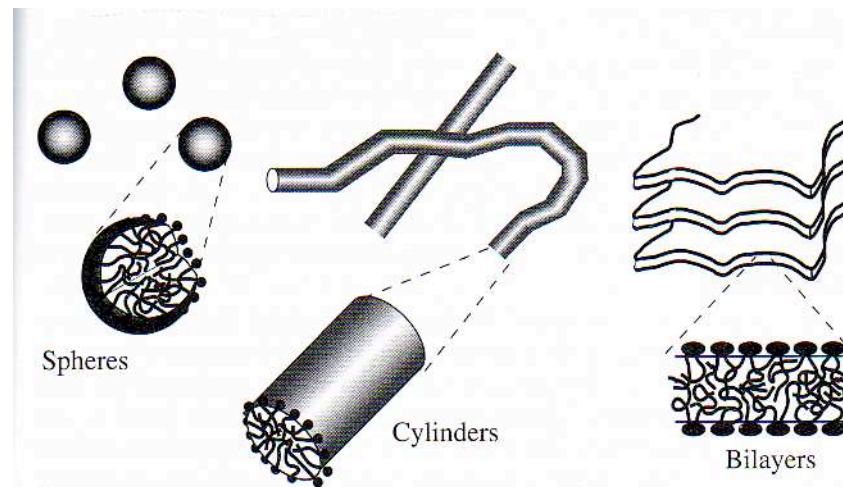


Fig. 9.1 Types of aggregates that are encountered in amphiphile solutions.

Macro vs. micro phase separation

Simple liquids (water & oil):

$$\varepsilon_N = \varepsilon_\infty + \frac{\alpha k_B T}{N^{1/3}}$$

free energy when a molecule joins an aggregate
 N - number of molecules in the aggregate

$$\alpha k_B T = 4\pi\gamma(3v/4\pi)^{2/3}$$

γ - interfacial energy, v - molecular volume

ε_N - monotonic decreasing function of N

\Rightarrow infinite aggregates - macroscopic phase separation

Amphiphiles:

\Rightarrow finite size of aggregates - microscopic phase separation

Why?

Macro vs. micro phase separation

Amphiphiles:

⇒ micro-phase separation

⇒ finite size of aggregates

entropic considerations more important

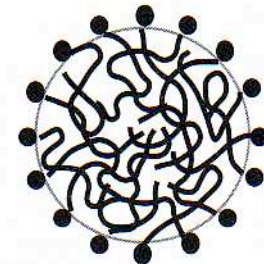


Why? Balance between protecting the hydrophobic tail and keeping the head in contact with the water

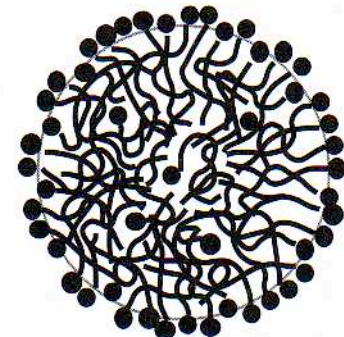
associating tails vs. repulsion of head groups



$N < M$



$N = M$



$N > M$

Fig. 9.3 The optimum aggregation number, M for a spherical micelle. Micelles containing a smaller number of molecules have too large an area for each head-group, causing unfavourable water/hydrocarbon interactions, while in micelles larger than the optimum number some head-groups are forced inside the hydrophobic core.

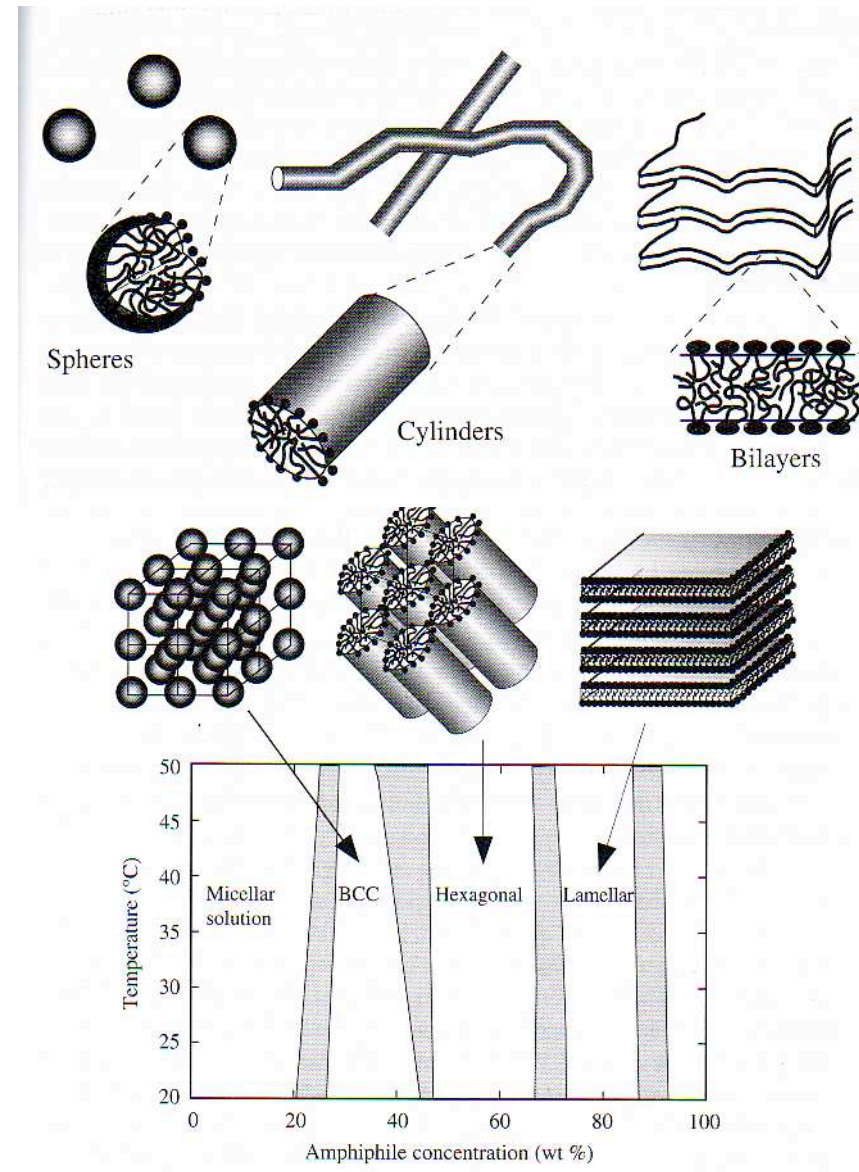
Shape of aggregates

Basic shapes:

- spheres
 - cylinders
 - bilayers
 - vesicles
- micelles

Superstructures:

- micellar crystals
- lamellar phases
- bicontinuous networks
- ...



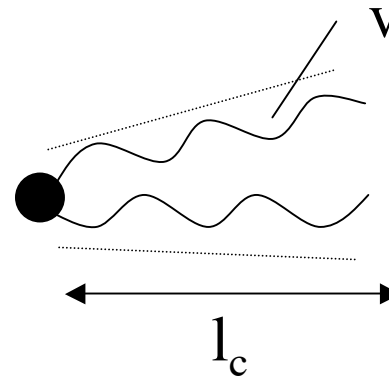
Shape of aggregates

Factors determining the shape

- i) Optimum head group area, a_0
- ii) Critical chain length, l_c
- iii) Hydrocarbon volume, v

l_c - length of fully extended tail

v - volume of the tail
of tails, side groups, ...



Optimum head group area, a_0

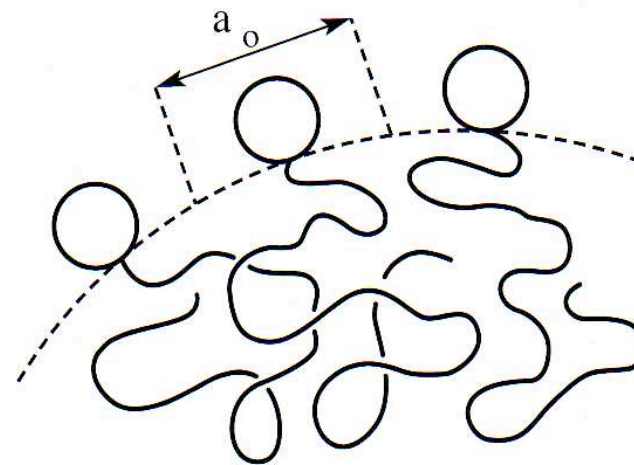
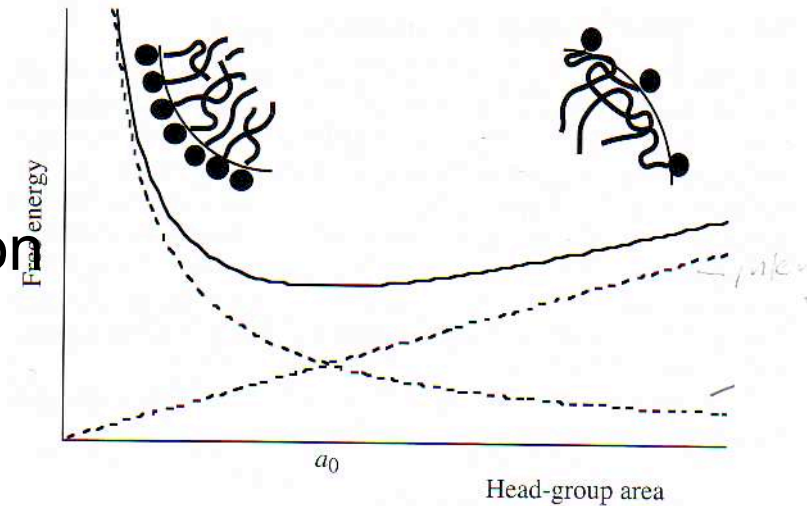
a_0 controlled by

repulsive forces:

electrostatic or steric repulsion
(can be altered by e.g.
salt concentration)

attractive forces:

protecting the tail



Shape of aggregates

Spheres - smallest area/volume

Volume:

$$4\pi r^3/3 = Nv$$

(N - # of molecules in aggregate)

Surface area:

$$4\pi r^2 = Na_0$$

$$\Rightarrow r = 3v/a_0 \text{ but } r_{\max} \leq l_c$$

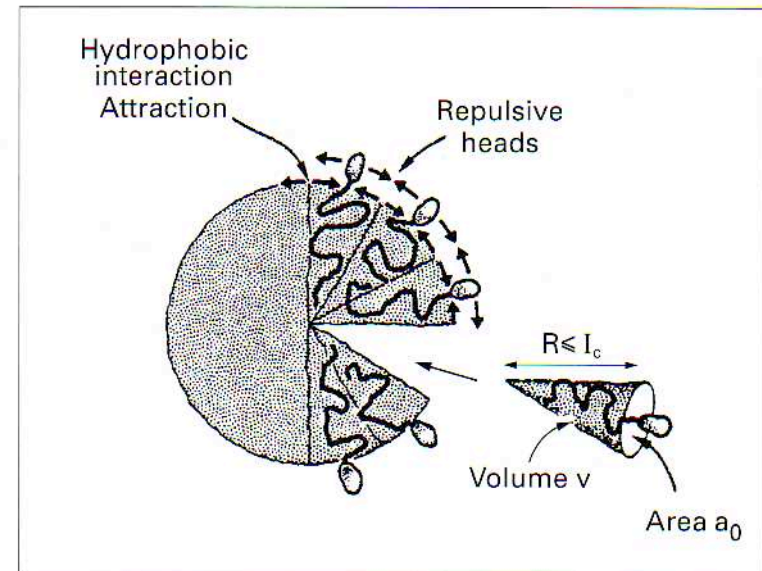


Fig. 4.4. Schematic diagram of a micelle

$$v/l_c a_0 \leq 1/3 \text{ for spherical micells}$$

Shape of aggregates

Spheres:
 $v/l_c a_0 \leq 1/3$

Cylinders:
 $1/3 \leq v/l_c a_0 \leq 1/2$

Bilayer:
 $v/l_c a_0 > 1/2$

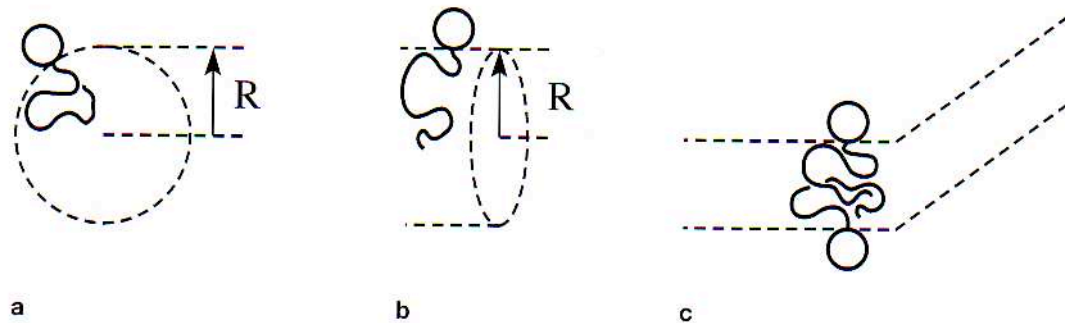
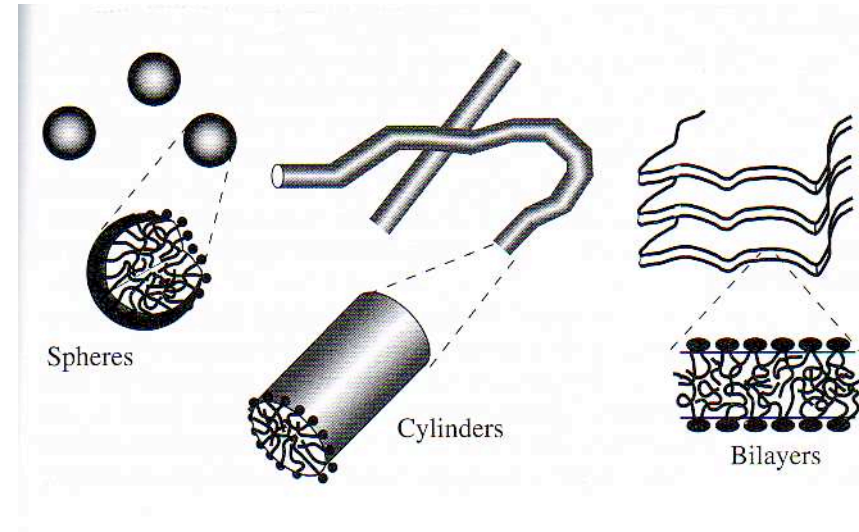


Fig. 5.4. Three possible morphologies, with their curvature. (a) Sphere, curvature $1/R + 1/R = 2/R$. (b) Cylinder, curvature $1/R + 0 = 1/R$. (c) Bilayer, zero curvature

Spherical micelles

Spheres formed when:

$$v/l_c a_0 \leq 1/3$$

Size of micelles finite \Rightarrow

ε_N has a minimum value at an optimum number M

$$\varepsilon_N = \varepsilon_M + \Lambda(N - M)^2$$

$$X_N = N \left[\frac{X_M}{M} \exp\left(\frac{-M\Lambda(M-N)^2}{k_B T}\right) \right]^{N/M}$$

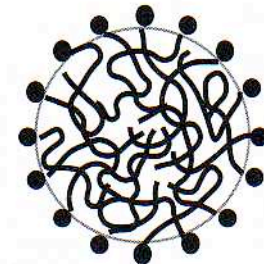
X_N - volume fraction of micelles with N molecules

$$\langle |N - M|^2 \rangle = \frac{k_B T}{2M\Lambda}$$

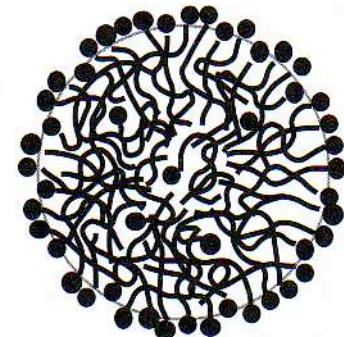
size distribution



$N < M$



$N = M$



$N > M$

Fig. 9.3 The optimum aggregation number, M for a spherical micelle. Micelles containing a smaller number of molecules have too large an area for each head-group, causing unfavourable water/hydrocarbon interactions, while in micelles larger than the optimum number some head-groups are forced inside the hydrophobic core.

Critical Micelle Concentration

$$X_N = N \left[\frac{X_M}{M} \exp \left(\frac{-M\Lambda(M-N)^2}{k_B T} \right) \right]^{N/M}$$

Monomers vs. micelles

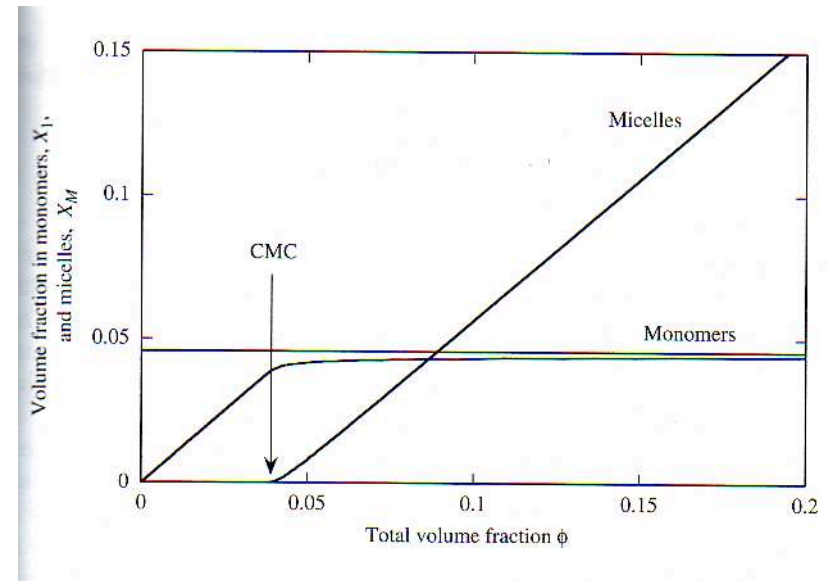
ϕ - volume fraction of amphiphile

$\phi < \phi_c$ - *monomers*

$\phi > \phi_c$ - *micelles of average size M*

$$X_M = M \left[X_1 \exp \left(\frac{\Delta \varepsilon}{k_B T} \right) \right]^M \quad \Delta \varepsilon = \varepsilon_1 - \varepsilon_M$$

$$\phi_c = \exp(-\Delta \varepsilon / k_B T) \quad - \text{CMC}$$



Cylindrical micelles

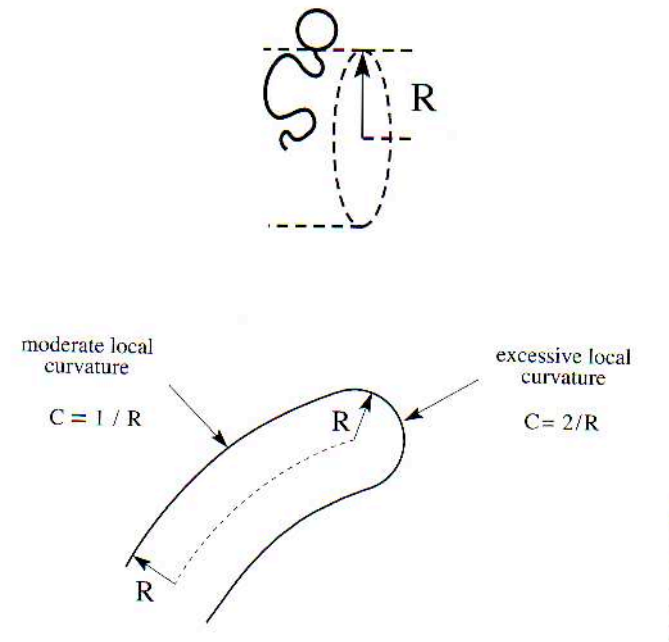
Cylinders formed when:

$$1/3 < v/l_c a_0 \leq 1/2$$

Size of micelles finite but ε_N has a no minimum - energy of a molecule in the cylinder is independent of the size \Rightarrow only $2\Delta E_{end}$

$$\varepsilon_N = \varepsilon_\infty + \frac{\alpha k_B T}{N} \quad , \quad \frac{\alpha k_B T}{N} = \frac{2\Delta E_{end}}{N}$$

\Rightarrow forming larger micelles leads to energy gain, but a loss in entropy



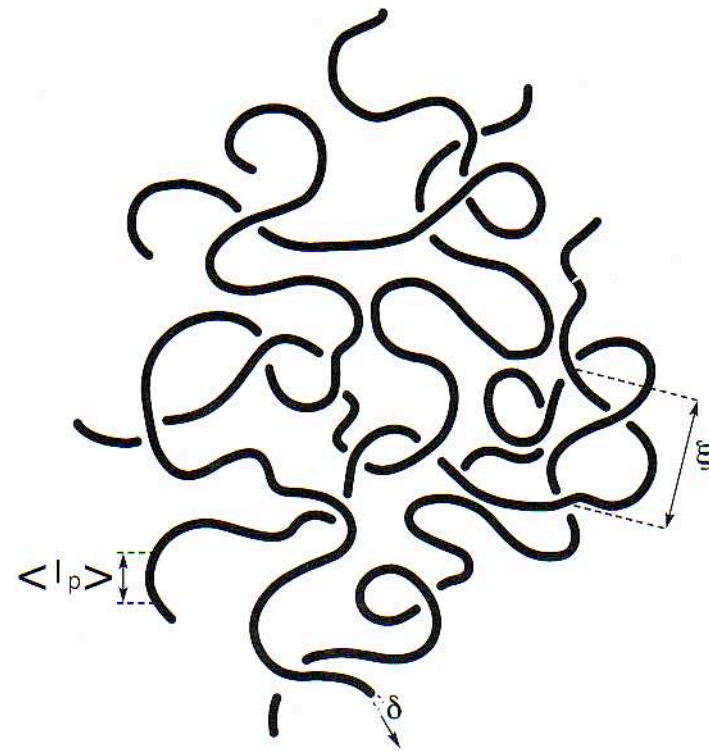
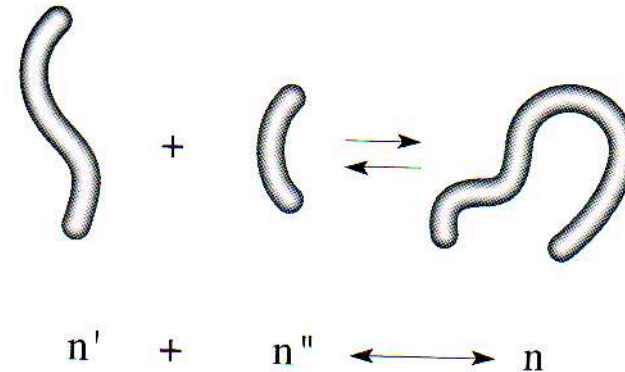
Cylindrical micelles

If ΔE_{end} is large \Rightarrow very long cylinder $> \mu\text{m}$

$$\langle L \rangle \propto \sqrt{\phi \exp \frac{2\Delta E_{end}}{k_B T}}$$

Polymer-like system but:

- i) Polymer length fixed
- ii) Micelles form and break
- iii) Micellar size varies with external conditions
- iv) Change in dynamic properties



Bilayers

Bilayers formed when:

$$v/l_c a_0 > 1/2$$

$$\Delta E_{\text{edge}}(A) \propto A^{1/2} \quad (A - \text{area of a layer})$$

dependent on the size of the bilayer

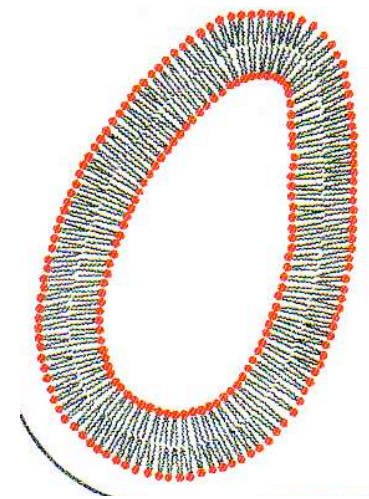
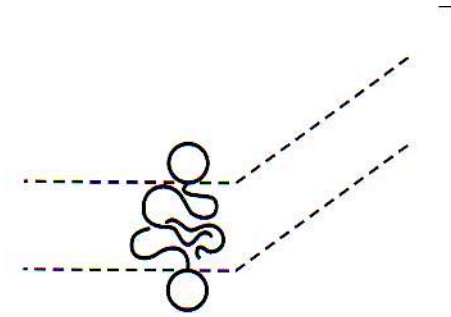
⇒ formation of infinite layers

Vesicles - closed surface by a bilayer

⇒ model for cell membranes

⇒ drug delivery systems

⇒ colloidal behaviour



Bilayers

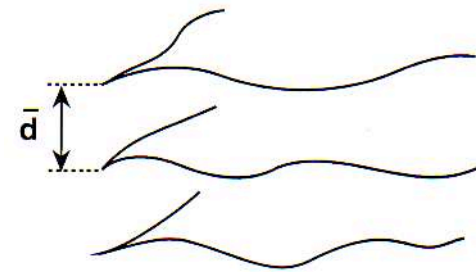
Bilayers are not flat - highly crumpled

Persistence length:

$$\xi_k = a \exp\left(\frac{4\pi k}{\alpha k_B T}\right)$$

k - bending modulus of membrane

For $r > \xi$ direction in membrane is lost



Lamellar phases can anyway be obtained
with very large $d \Rightarrow$ confinement between
two adjacent planes leads to loss in entropy

Bilayers

Lamellar phase can be distorted by fluctuations forming complex geometries

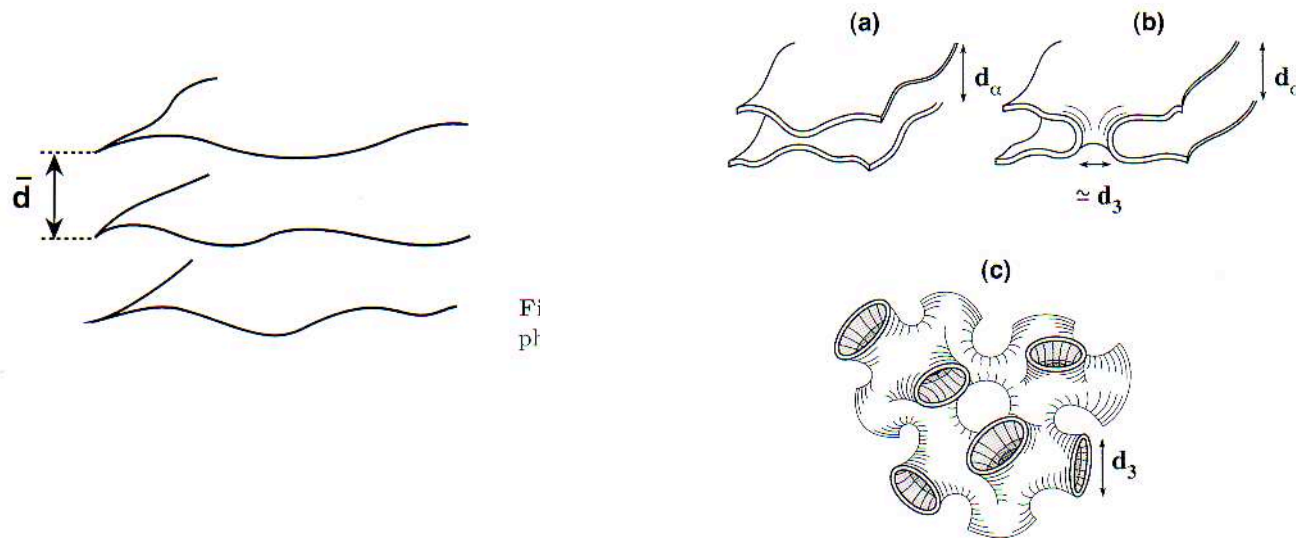


Fig. 5.21. Structure of the sponge phase.
(a) Two bilayers in the lamellar phase.
(b) Formation of an elementary passage.
(c) Proliferation of passages, leading to the sponge phase L₃

Phase behaviour

Self assembled structures
are rich in phases
⇒ response by changing
size and shape and
arrangement of micelles
⇒ dramatic change in
properties

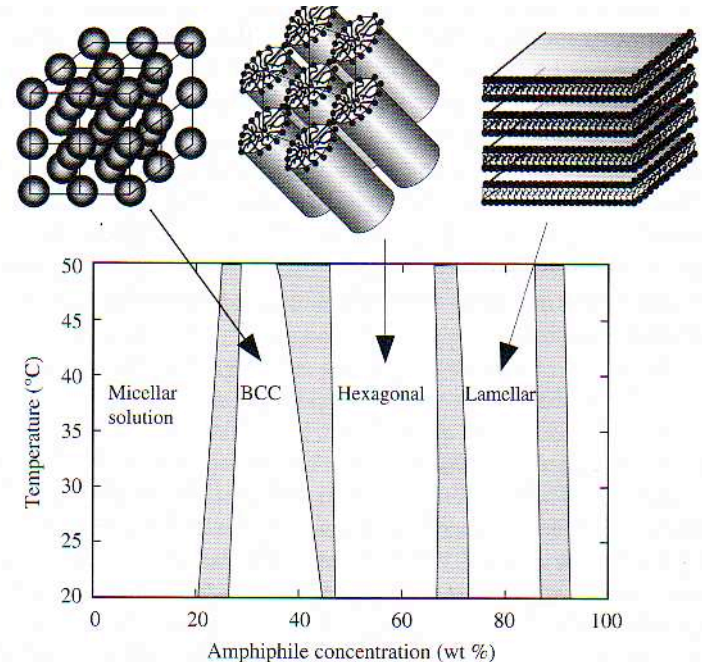
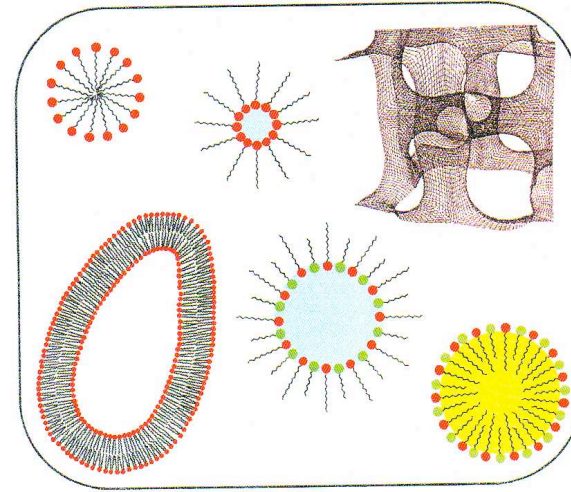
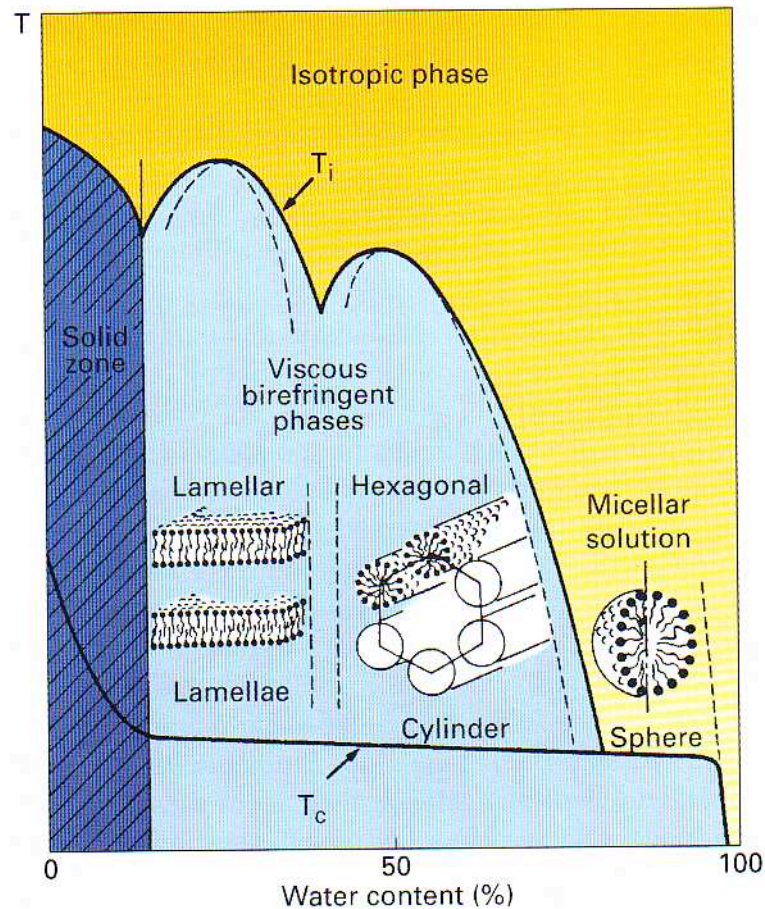


Fig. 9.7 The phase diagram of an amphiphilic copolymer in water, showing schematically the structures of the ordered phase. The material is a short triblock copolymer of ethylene oxide (EO) and propylene oxide (PO), with the structure $(EO)_{37}(PO)_{58}(EO)_{37}$. Data from P. Alexandris, D. Zhou, and A. Khan, *Langmuir*, **12**, 2690 (1996).

Self-assembly



More complexity from:

- several types of amphiphiles
- mixed solvents
- changing pH, temperature, ...

Self assembly in polymers

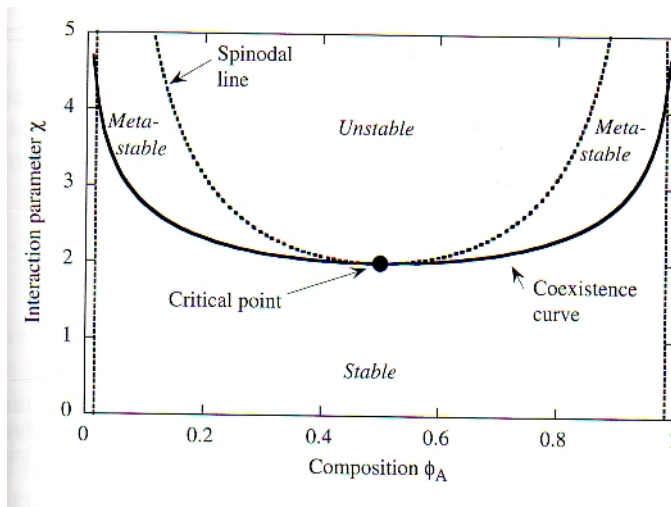
Mixing polymers

Generally polymers don't mix - why?

Free energy of mixing:
(two molecular liquids)

$$\frac{F}{k_B T} = \underbrace{\phi_A \ln \phi_A + \phi_B \ln \phi_B}_{\text{entropic}} + \underbrace{\chi \phi_A \phi_B}_{\text{interaction energy}}$$

entropic *interaction energy*



Interaction parameter χ :

$\chi < 2$ always single phase

$\chi > 2$ phase separation

regular solution model

Mixing polymers

Generally polymers don't mix - why?

For two polymer with N monomers

$$\frac{F^{pol}}{k_B T} = \phi_A \ln \phi_A + \phi_B \ln \phi_B + N \chi \phi_A \phi_B$$

$$\frac{F^{mon}}{k_B T} = \frac{\phi_A}{N} \ln \phi_A + \frac{\phi_B}{N} \ln \phi_B + \chi \phi_A \phi_B$$

(Flory-Huggins free energy)

Interaction parameter χ :

$\chi < 2/N$ always single phase

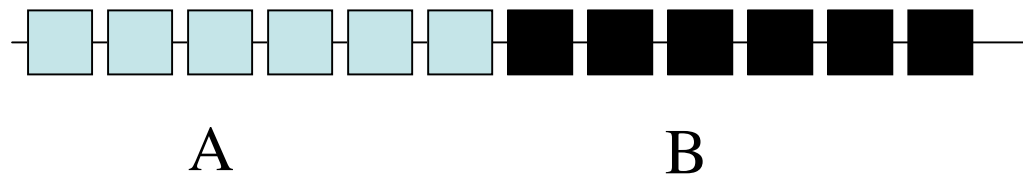
$\chi > 2/N$ phase separation

N is usually $\approx 10^3$ - 10^6

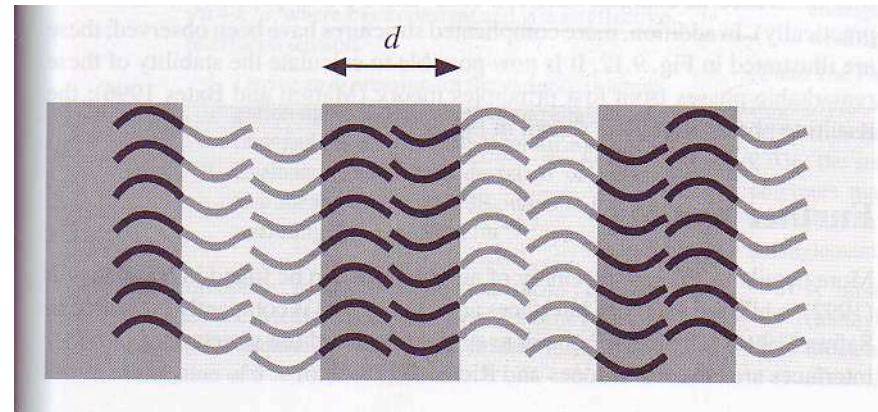
\Rightarrow even for small χ we get macro-phase separation

Block copolymers

Blocks of different polymers covalently linked together

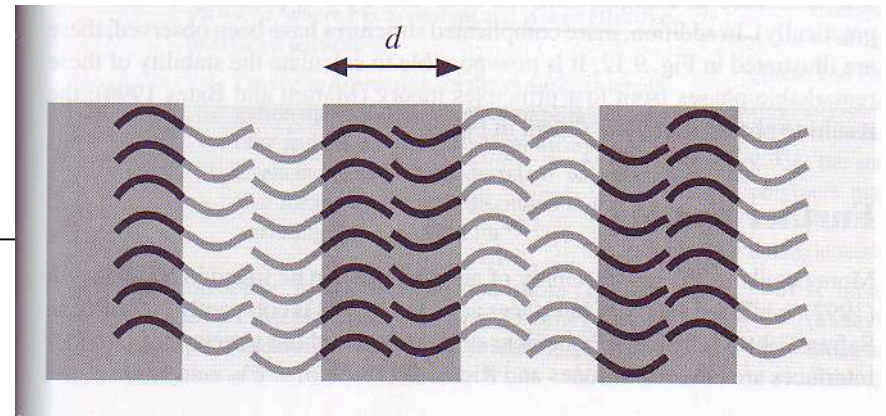
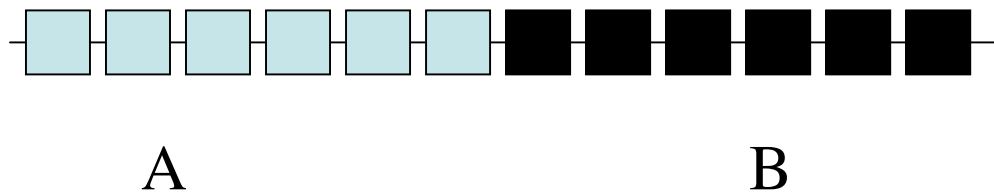


Even if $\chi < 2/N$ the system cannot macro-phase separate (similar to amphiphiles)



Block copolymers

Symmetric block copolymers
⇒ lamellar structures



Asymmetric structures
⇒ more complex morphology

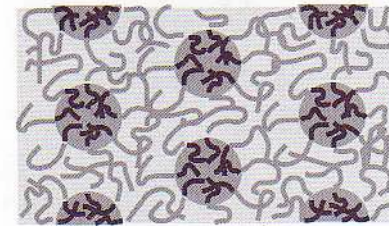


Fig. 9.11 A lamellar morphology (top) is less favoured for an asymmetric diblock copolymer, as it requires the two blocks to be stretched to different degrees. Instead, a morphology with curved interfaces (bottom) is favoured.

Templating with block copolymers

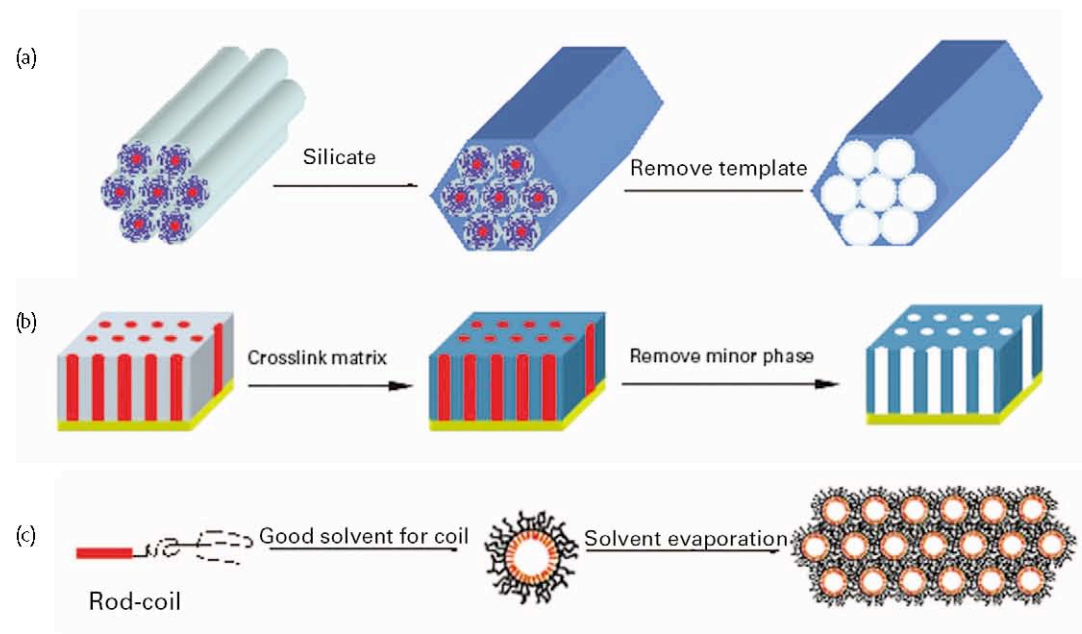


Fig. 6 Schematic of three approaches to generate nanoporous and mesoporous materials with block copolymers. (a) Block copolymer micelle templating for mesoporous inorganic materials. Block copolymer micelles form a hexagonal array, silicate species (in blue) then occupy the spaces between the cylinders. The removal of the micelle template leaves hollow cylinders. (b) Block copolymer matrix for nanoporous materials. Block copolymers form a hexagonal cylinder phase in a bulk or thin film state. Subsequent crosslinking fixes the matrix. Hollow channels are generated by removing the minor phase. (c) Rod-coil block copolymer for microporous materials. Solution-cast micellar films consist of multilayers of hexagonally ordered arrays of spherical holes. (Adapted from⁸⁵ and reprinted with permission. © 1999 American Association for the Advancement of Science.)

Templating with block copolymers

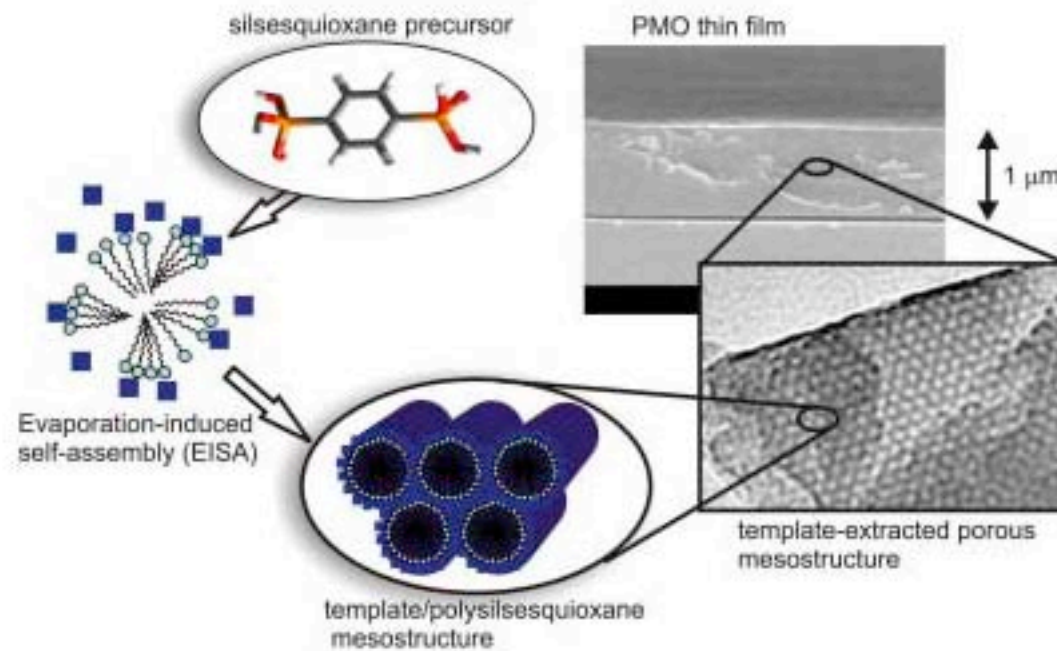
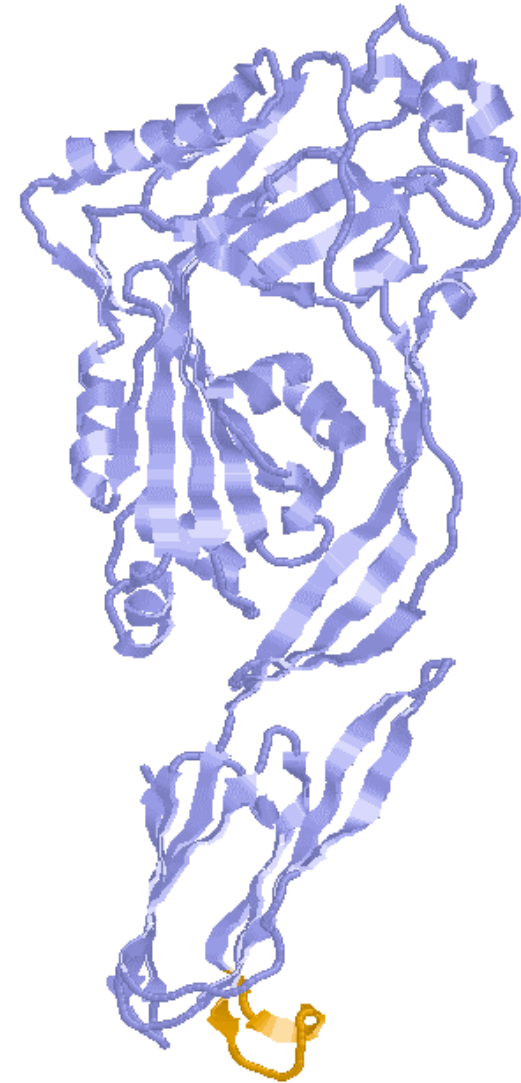
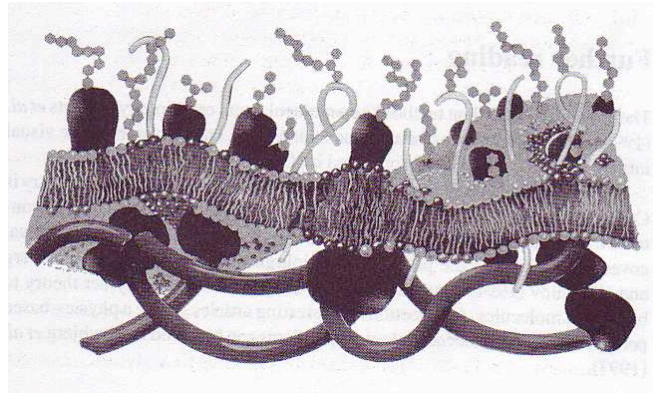


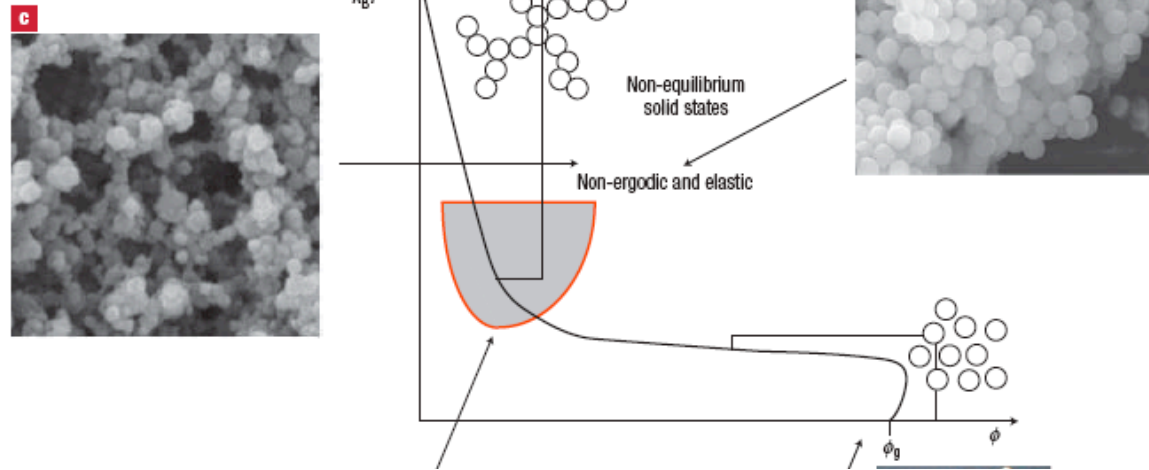
Fig. 6 Schematic illustration of the synthesis and structure of PMO thin films.

Soft matter in nature

- Biopolymers
 - DNA
 - Proteins, protein folding
 - Polysaccharides
- Biomembranes



Food stuffs



- Colloids (micelles, emulsions, foams...)
- Bio-polymers (proteins, sugars,...)
- Gels (gelatin)
- Amphiphiles (lipids)
- Glass phases
- Liquid crystal phases

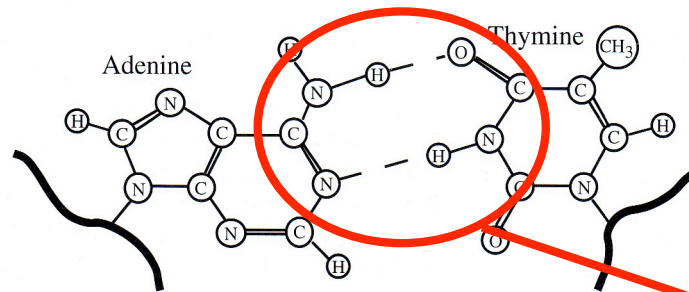
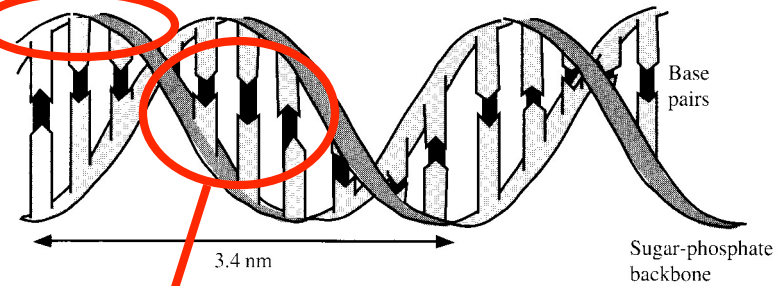
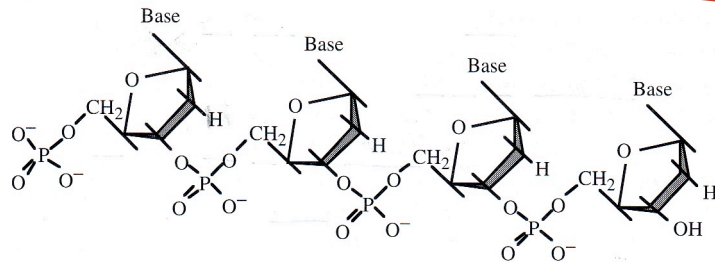
Biopolymers

Biopolymers - sequenced copolymers

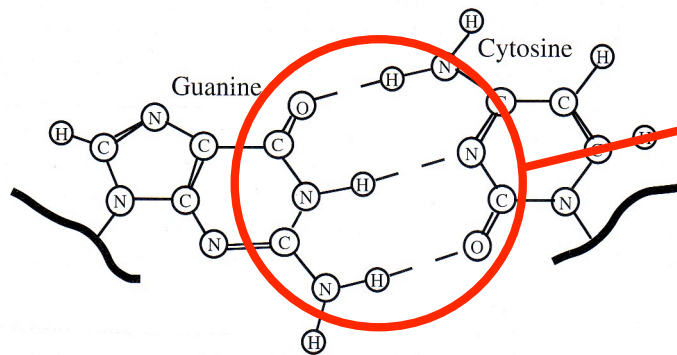
- Nucleic acids - sequenced copolymers
ex. DNA, RNA
- Proteins - ordered sequence of amino acids
ex. enzymes, structural elements
- Polysaccharides - random copolymers
ex. cellulose, starch

DNA

backbone

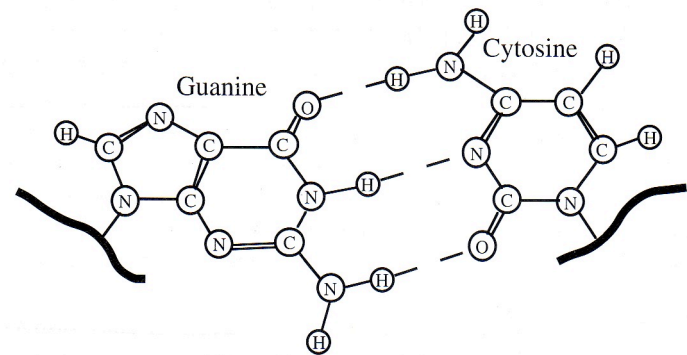
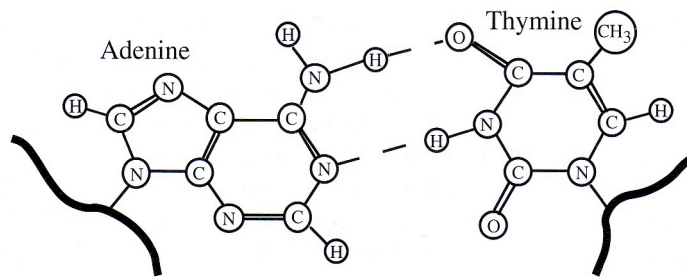
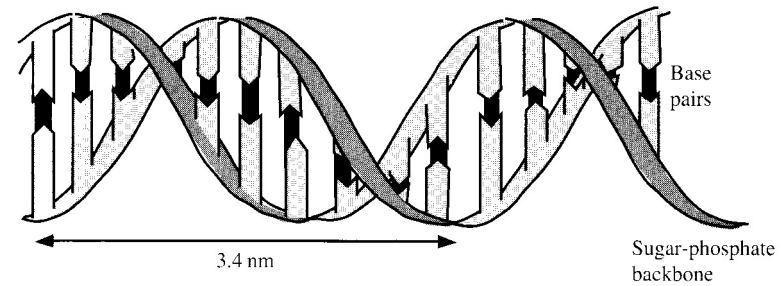
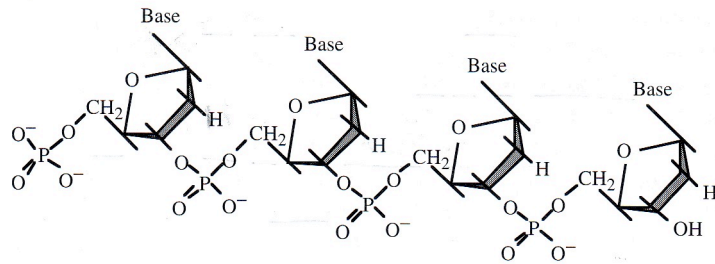


crosslinking side-groups



matching hydrogen bonding

DNA



very stiff:

$I_p \approx 200-300 \text{ units}$

long polymer:

$> 10^6$ monomers

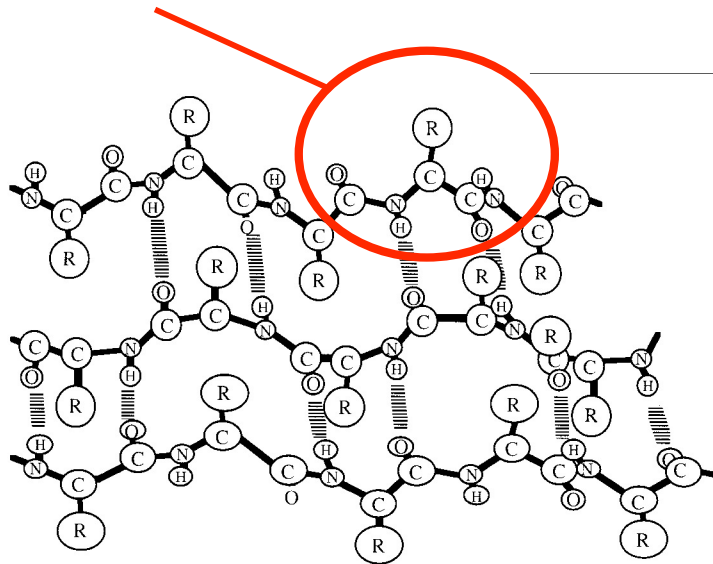
contour length $\mu\text{m} - \text{mm}$

end-to-end $200\text{nm} - 75\mu\text{m}$

Proteins

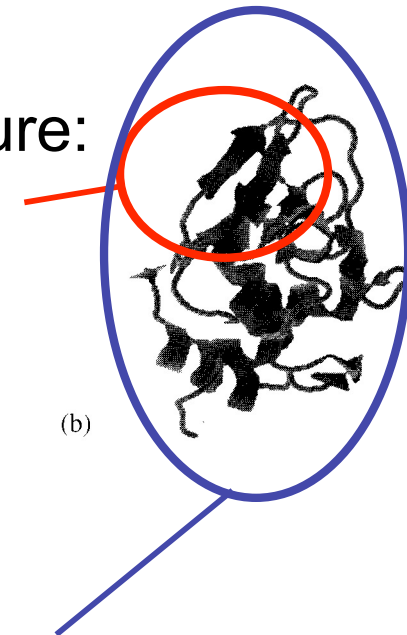
Proteins self-assemble and form hierarchical structures

Primary structure:
Backbone sequence of amino acids



Secondary structure:

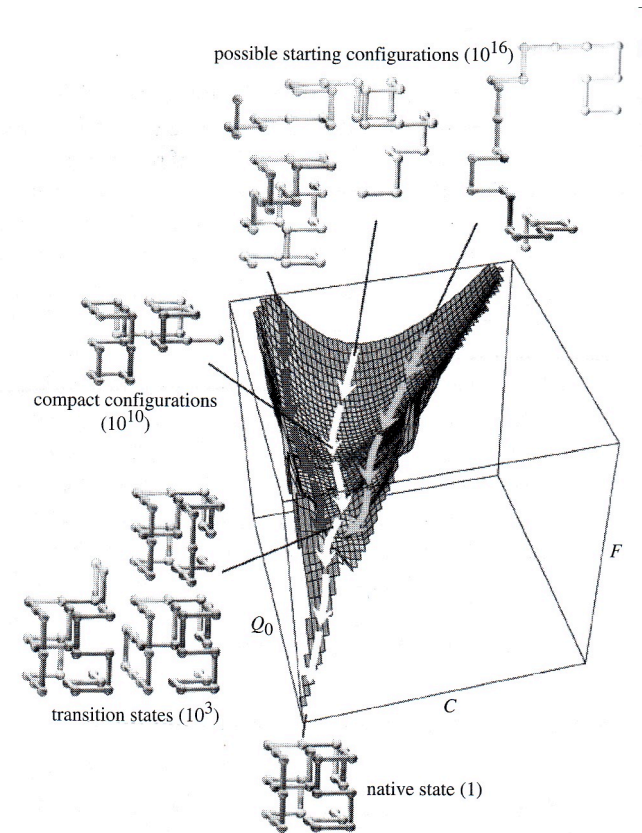
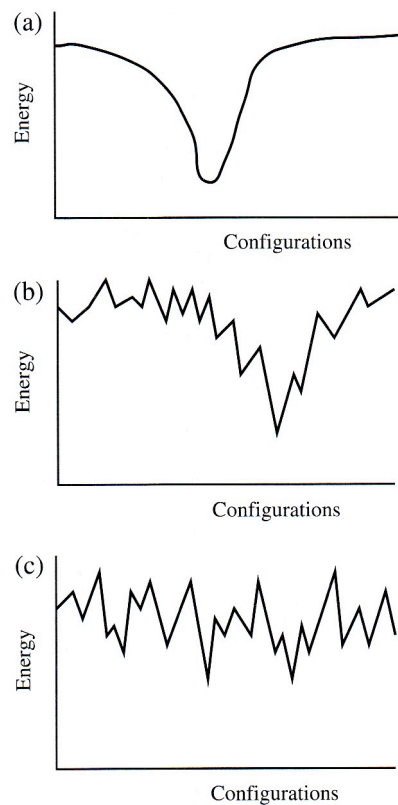
- α -helix
- β -sheets



Tertiary structures:
Full 3-D arrangement
Only one configuration provides the function

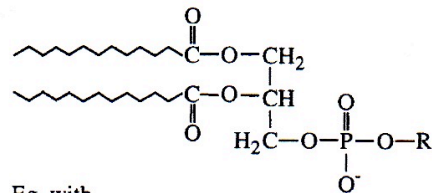
Protein folding

Not a random exploration of the energy landscape in the folding process (ms)



Membranes

Phospholipids

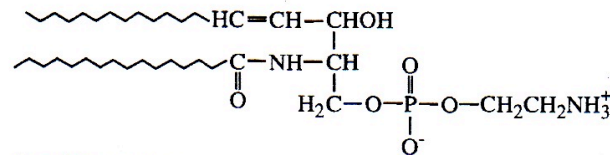


Eg. with

R = CH₂CH₂NH₃⁺: Phosphatidylethanolamine

R = CH₂CH₂N(CH₃)₃⁺: Phosphatidylcholine (lecithin)

Sphingolipid



(A sphingomyelin)

Phospholipids from bilayers:
2 chains gives large v
 $\Rightarrow v/l_c a_0 > 1/2$

Real cell membrane:

- *several amphiphiles*
- *phase separated regions*
- *membrane proteins*
- *modifying molecules*
- *in-plane motions*

