

QUANTUM MECHANICS, FALL 2000

First five weeks (1/3 of the course):

We've covered most of chapters 1& 2 in Sakurai

= THE BASICS OF QUANTUM MECHANICS, except:

Stern-Gerlach (self study!)

The uncertainty relation (self study!)

Potentials and gauge transformations

(this week's reading assignment
+ discussion later in the course)



Next...

The theory of angular momentum

(Sakurai, chapter 3)

basic ingredient in most of quantum physics....

from

the foundation of quantum field theory...

(Spin-Statistics Theorem for General Spin)

For a general irreducible spinor field the “wrong” connection of spin with statistics

$$\begin{aligned} [\varphi_\alpha(x), \varphi_\alpha^*(y)]_+ &= 0 \quad \varphi \text{ of integer spin} \\ [\varphi_\alpha(x), \varphi_\alpha^*(y)]_- &= 0 \quad \varphi \text{ of half-odd integer spin} \\ &\qquad\qquad\qquad \text{for } (x - y)^2 < 0 \end{aligned} \quad (4-48)$$

implies $\varphi_\alpha(x)\Psi_0 = 0$. In a field theory in which all fields either commute or anti-commute this implies $\varphi_\alpha = \varphi_\alpha^* = 0$.

...to

solid state architectures for quantum computers



Theory of Angular Momentum

"WARM UP"

TRANSLATIONS IN SPACE

Translations in Q.M.

Classically $x \rightarrow x + \varepsilon$ \Rightarrow $\langle x \rangle \rightarrow \langle x \rangle + \varepsilon$
 $p \rightarrow p$ \Rightarrow $\langle p \rangle \rightarrow \langle p \rangle$

$T(\varepsilon) \quad | \quad \psi \rangle = |\psi_\varepsilon\rangle$

$$\langle x | \psi_\varepsilon \rangle = \langle x | T_\varepsilon | \psi \rangle = \underbrace{\psi(x-\varepsilon)}_{\sim} \equiv \tilde{\psi}(x)$$

IMPORTANT!

The translation operator takes the number $\psi(x)$ assigned to the point x and reassigns it to the translated point $x' = x + \varepsilon$

TRANSLATIONAL INVARIANCE

$$\langle \psi | H | \psi \rangle = \langle \psi_\varepsilon | H | \psi_\varepsilon \rangle = \langle \psi | T(\varepsilon)^\dagger H T(\varepsilon) | \psi \rangle$$

$$T(\varepsilon) = 1 - \frac{i\varepsilon}{\hbar} p \quad \Rightarrow \quad = \langle \psi | (1 + \frac{i\varepsilon}{\hbar} p)^\dagger H (1 - \frac{i\varepsilon}{\hbar} p) | \psi \rangle$$

$$= \langle \psi | H | \psi \rangle + \frac{i\varepsilon}{\hbar} \langle \psi | [p, H] | \psi \rangle$$

Ergebnist

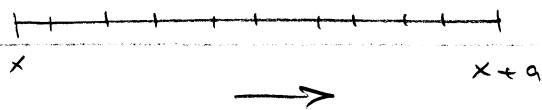
$$\Rightarrow \langle [p, H] \rangle = 0 \quad \Rightarrow \langle p \rangle = 0$$

MOMENTUM CONSERVATION

(2)

Finite translations

$$\varepsilon = a/N$$



$$\overline{T}(\varepsilon) = \overline{T}(a/N) = 1 - \frac{ia}{\hbar N} P$$

$$\Rightarrow \overline{T}(a) = \lim_{N \rightarrow \infty} [\overline{T}(a/N)]^N = e^{-iaP/\hbar}$$

$$\text{use } e^{-ax} = \lim_{N \rightarrow \infty} \left(1 - \frac{ax}{N}\right)^N$$

(Ok since P commutes with itself!)

TIME TRANSLATIONS

$\overline{T}(\varepsilon) = 1 - \frac{i\varepsilon}{\hbar} H \Leftarrow$ Hamiltonian = generator of infinitesimal time translations

TIME TRANSLATIONAL INVARIANCE



$$\langle \hat{H} \rangle = 0$$

ENERGY CONSERVATION

ROTATIONS IN SPACE

1D \rightarrow {2D, 3D}

$$\begin{cases} P_x \rightarrow -\hat{x} \frac{\partial}{\partial x} \\ P_y \rightarrow -\hat{x} \frac{\partial}{\partial y} \end{cases} \quad \begin{array}{l} \text{in a coordinate basis } \langle x, y \rangle \\ = |x\rangle \otimes |y\rangle \end{array}$$

Vector operator $P = P_x \hat{x} + P_y \hat{y}$

\hat{t} translates along \hat{x}

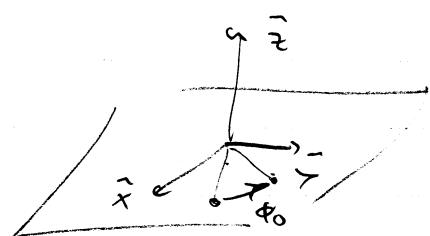
$$\hat{T}_n = \hat{n} \cdot \hat{P} \quad \begin{array}{l} \text{GENERATOR OF TRANSLATIONS} \\ \text{ALONG } \hat{n}. \end{array}$$

Classical state specified by coordinates and momenta.

Rotation in 2D:

$$\begin{pmatrix} x \\ y \end{pmatrix} \rightarrow \begin{pmatrix} \tilde{x} \\ \tilde{y} \end{pmatrix} = \begin{pmatrix} \cos\phi_0 & -\sin\phi_0 \\ \sin\phi_0 & \cos\phi_0 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$$

$$\begin{pmatrix} P_x \\ P_y \end{pmatrix} \rightarrow \begin{pmatrix} \tilde{P}_x \\ \tilde{P}_y \end{pmatrix} = \begin{pmatrix} \cos\phi_0 & -\sin\phi_0 \\ \sin\phi_0 & \cos\phi_0 \end{pmatrix} \begin{pmatrix} P_x \\ P_y \end{pmatrix}$$



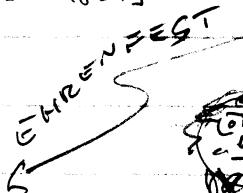
$$R(\phi_0 \hat{z})$$

What is the operator that implements this rotation on the corresponding QUANTUM STATE \in Hilbert Space?

Let's call that operator $U[R(\phi_0 \hat{z})] = D_z(\phi_0)$.

It has the property

$$|\psi\rangle \xrightarrow{U[R(\phi_0 \hat{z})]} |\psi_R\rangle = U[R(\phi_0 \hat{z})]|\psi\rangle$$



"The expectation values of x, y, p_x and p_y satisfy the same equations as the corresponding classical variables."

$$\begin{pmatrix} \langle x \rangle_R \\ \langle y \rangle_R \end{pmatrix} = \begin{pmatrix} \cos\phi_0 & -\sin\phi_0 \\ \sin\phi_0 & \cos\phi_0 \end{pmatrix} \begin{pmatrix} \langle x \rangle \\ \langle y \rangle \end{pmatrix}$$

$$\begin{pmatrix} \langle p_x \rangle_R \\ \langle p_y \rangle_R \end{pmatrix} = \begin{pmatrix} -i\hbar \\ i\hbar \end{pmatrix} \begin{pmatrix} \langle p_x \rangle \\ \langle p_y \rangle \end{pmatrix}$$

$$\begin{aligned} \langle \vec{r} \rangle_R &= \langle \vec{r}_R | \vec{r} | \vec{r}_R \rangle \\ \langle \vec{r} \rangle &= \langle \vec{r} | \vec{r} | \vec{r} \rangle \\ \vec{r} &= x\hat{i} + y\hat{j} + z\hat{k} \end{aligned}$$

↓ In the coordinate basis
(eigenbasis of the x and y operators)

$$U[R(\phi_0 \hat{z})] |x, y\rangle = |\cos\phi_0 x - \sin\phi_0 y, \sin\phi_0 x + \cos\phi_0 y\rangle$$

This gives us a hint of how to explicitly construct $U[R]$!

Consider first $\phi_0 = \varepsilon \ll 1$. Guided by the structure of the infinitesimal space- and time translation operators, let's try

$$U[R(\varepsilon \hat{z})] = \mathbb{I} - \frac{i\varepsilon}{\hbar} L_z$$

what is L_z ?

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\Rightarrow to $\Theta(\varepsilon)$:

$$U[R(\varepsilon \hat{z})] |xy\rangle = |x-\gamma\varepsilon, x\varepsilon+\gamma\rangle$$

$$\Rightarrow \langle xy | \mathbb{1} - \frac{i\varepsilon}{\hbar} L_z | \psi \rangle := \psi(x+\gamma\varepsilon, y-\gamma\varepsilon)$$

$$\begin{aligned} \text{(use that } \langle xy | \mathbb{1} - \frac{i\varepsilon}{\hbar} L_z = [(\mathbb{1} + \frac{i\varepsilon}{\hbar} L_z) |xy\rangle]^+ \text{ if } L_z^+ = L_z \\ \Rightarrow = (|x+\gamma\varepsilon, y-\gamma\varepsilon\rangle)^+ = \langle x+\gamma\varepsilon, y-\gamma\varepsilon \rangle \text{)} \end{aligned}$$

Required!

to $\Theta(\varepsilon)$

$$\Rightarrow \cancel{\psi(x,y)} - \frac{i\varepsilon}{\hbar} \langle xy | L_z | \psi \rangle = \cancel{\psi(x,y)} + \frac{\partial \psi}{\partial x} (\gamma\varepsilon) + \frac{\partial \psi}{\partial y} (-\gamma\varepsilon)$$

$$\Rightarrow \langle xy | L_z | \psi \rangle = \left\{ x(-i\hbar \frac{\partial}{\partial y}) - y(-i\hbar \frac{\partial}{\partial x}) \right\} \psi(x,y)$$

↓

$$L_z \longrightarrow x(-i\hbar \frac{\partial}{\partial y}) - y(-i\hbar \frac{\partial}{\partial x}) = X P_y - Y P_x$$

$\{ |xy\rangle \}$ basis

This we recognize as the "operator form" of the z -component of the angular momentum. Why didn't we just define

$$\vec{L} = \vec{r} \times \vec{p} \quad \xrightarrow{\text{quantum}} \vec{L} = \vec{r} \times \vec{p}, \quad \vec{R} = (x, y, z), \quad \vec{P} = (P_x, P_y, P_z)$$

$$= -i\hbar \vec{D}$$

?

Wait and see...

FINITE ROTATIONS ?

$$U[R(\phi_0 \hat{z})] = \lim_{N \rightarrow \infty} \left(\mathbb{I} - i \frac{\phi_0}{\hbar} L_z \right)^N = \exp(-i \phi_0 L_z / \hbar)$$

\curvearrowleft

As any analytic function of an operator,
 this is defined by its Taylor expansion.
 Gets messy since $x(-i\hbar \partial_y)$ and $y(-i\hbar \partial_x)$
 don't commute ...

Simpler in POLAR COORDINATES

$$L_z \longrightarrow -i\hbar \frac{\partial}{\partial \phi} \Rightarrow \exp(-i\phi_0 L_z / \hbar) \longrightarrow \exp(-\phi_0 \frac{\partial}{\partial \phi})$$

$\{ \text{if } \phi > ? \}$

Now it's obvious that L_z rotates the state by an angle ϕ
 about the \hat{z} -axis :

$$\exp(-\phi_0 \frac{\partial}{\partial \phi}) \Psi(r, \phi) = \Psi(r, \phi - \phi_0)$$

Taylor expand for small ϕ_0 .

ROTATIONAL INVARIANCE

$$\langle \psi_R | H | \psi_R \rangle = \langle \psi | H | \psi \rangle$$

$$\Downarrow R = R(\varepsilon \hat{z})$$

$$[L_z, H] = 0 \Rightarrow \{ L_z \text{ AND } H \text{ COMPATIBLE}$$

- $\{ \text{Eigenfct + } \langle L_z \rangle = 0 \}$

(\Rightarrow COMMON EIGENBASIS)

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Aside:

Note about VECTOR OPERATORS

$$\vec{\Omega} = \Omega_x \hat{x} + \Omega_y \hat{y}$$

transforms as
a vector
operators in different space (=vector space)

VECTOR OPERATOR IF

$$U^*[r] \vec{\Omega} U[r] = \sum_j R_{ij} \Omega_j \quad i = x, y$$

rotation matrix elements

$$\text{ex. } \vec{\Omega} = \vec{R} = (x, y), \vec{P} = (P_x, P_y) \text{ . check!}$$

EIGENVALUE PROBLEM OF L_z

We have seen that in a rotationally invariant problem, H and L_z share a common eigenbasis. What does that mean? Let's first check out L_z !

$$L_z |l_z\rangle = l_z |l_z\rangle$$

↓ { $|l_z\rangle$ } basis

$$-i\hbar \frac{\partial \Psi_{l_z}(r, \phi)}{\partial \phi} = l_z \Psi_{l_z}(r, \phi), \quad \Psi_{l_z}(r, \phi) = \langle \phi | l_z \rangle$$

↓

$$\Psi_{l_z}(r, \phi) = R(r) e^{il_z \phi / \hbar}$$

l_z should be real (eigenvalue of an observable!)

[ARBITRARY NORMALIZABLE FUNCTION]

HERMITIORITY CONDITION ON L_z



$$\Rightarrow \langle \psi_1 | L_z | \psi_2 \rangle = \langle \psi_2 | L_z | \psi_1 \rangle^*$$

COORDINATE BASIS \rightarrow

$$\int_0^\infty d\varphi \int_{-\pi}^{\pi} d\psi \psi_1^* (-i\hbar \frac{\partial}{\partial \varphi}) \psi_2 = \left[\int_0^\infty d\varphi \int_{-\pi}^{\pi} d\psi \psi_2^* (-i\hbar \frac{\partial}{\partial \varphi}) \psi_1 \right]^* \quad (\square)$$

"COMPLETENESS"

L_z

$$\boxed{LHS = \langle \psi_1 | L_z | \psi_2 \rangle = \iint dx dy dx' dy' \langle \psi_1 | x, y \rangle \langle x, y | X P_y - Y P_x | x', y' \rangle \langle x', y' | \psi_2 \rangle}$$

$$= \iint dx dy dx' dy' \langle \psi_1 | x, y \rangle \underbrace{\left(-i\hbar (x \frac{\partial}{\partial y} - y \frac{\partial}{\partial x}) \right)}_{\text{representation of } L_z \text{ in the } x, y \text{ basis}} \delta(x-x') \delta(y-y') \langle x', y' | \psi_2 \rangle$$

$$= \iint dx dy \psi_1^*(x, y) L_z \psi_2(x, y)$$

$$= \{ x, y \rightarrow \varphi, \psi \} = \int_0^\infty d\varphi \int_{-\pi}^{\pi} d\psi \psi_1^*(\varphi, \psi) (-i\hbar \frac{\partial}{\partial \varphi}) \psi_2(\varphi, \psi)$$

SAME FOR THE RHS. Make sure that you understand this.
It's important! In particular, note that

$$\langle x, y | X P_y | x', y' \rangle = x \langle x, y | P_y | x', y' \rangle$$

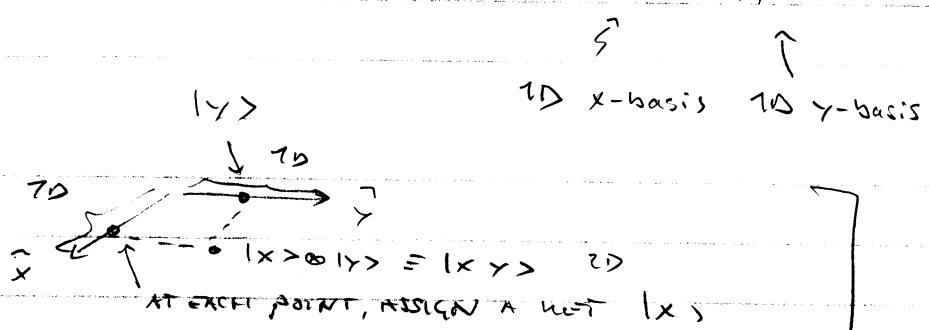
$\overbrace{\qquad\qquad\qquad}^{\delta(x-x')}$ $\overbrace{\qquad\qquad\qquad}^{\text{X Hermitian}}$

$$= x \langle x | \underbrace{\otimes (y | P_y | y')}_{-i\hbar \frac{\partial}{\partial y} \delta(y-y')} \otimes | x' \rangle = x (-i\hbar \frac{\partial}{\partial y'}) \delta(x-x') \delta(y-y')$$

$\overbrace{\qquad\qquad\qquad}^{\text{label}}$ $\overbrace{\qquad\qquad\qquad}^{| x, y \rangle \text{ is a common eigenvect to X and Y}}$ $\overbrace{\qquad\qquad\qquad}^{\text{eigenvalues y}}$

$\overbrace{\qquad\qquad\qquad}^{\text{label}}$ $\overbrace{\qquad\qquad\qquad}^{\text{eigenvalues x}}$

and can be written as $| x \rangle \otimes | y \rangle$



Integrate (7) by parts $\Rightarrow \Psi(\varphi, \theta) = \Psi(\varphi, \alpha)$

$$\Rightarrow R(\varphi) = iZ(\varphi) e^{iL_z 2\pi/\hbar} \Rightarrow L_z = m\hbar$$

QUANTIZATION FROM
THE HERMITICITY
CONDITION

IMPORTANT

$$m = 0, \pm 1, \pm 2, \dots$$

"MAGNETIC QUANTUM NUMBERS"

l_z

Ψ single valued function of ϕ

$$\Psi(r, \phi) = R(r) e^{im\phi}$$

ζ

HUGE DEGENERACY SINCE $R(r)$ ARBITRARY !

Bring in a compatible observable + remove the degeneracy !

Choose the Hamiltonian H !

(NO ANGULAR DEPENDENCE IN H)
Simple tor rotationally invariant problems !

$$H = -\hbar^2/2m (\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2}) + V(r, \theta) \Rightarrow [H, L_z] = 0$$

Eigenvalue problem for H (= time-independent "Schrödinger equation")

$$H \Psi_{\epsilon, m} = E \Psi_{\epsilon, m}$$

\uparrow

POLAR COORDINATES

$\Psi_{\epsilon, m}$ common eigenfunction to H and L_z

$m \rightarrow n$

$$\left\{ -\frac{\hbar^2}{2m} \left(\frac{d^2}{d\varphi^2} + \frac{1}{\varphi} \frac{d}{d\varphi} + \left(\frac{l(l+1)}{\varphi^2} \frac{d^2}{dr^2} \right) + V(r) \right) \right\} \Psi_{E,m}(r, \varphi) = E \Psi_{E,m}(r, \varphi)$$

But we know the term $\frac{1}{\varphi} \frac{d}{d\varphi}$!

$$\Psi_{E,m} = R_{E,m}(\varphi) \Phi_m(\varphi), \text{ where } \Phi_m(\varphi) = \frac{1}{\sqrt{2\pi}} e^{im\varphi}$$

↓
Fills this into (*), ! $R_{E,m}(\varphi) = \sqrt{2\pi} R(\varphi)$

in our old notation

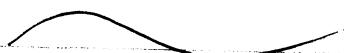
$$\left\{ -\frac{\hbar^2}{2m} \left(\frac{d^2}{d\varphi^2} + \frac{l(l+1)}{\varphi^2} - \frac{m^2}{\varphi^2} \right) + V(r) \right\} R_{E,m}(\varphi) = E R_{E,m}(\varphi)$$

Note: the angular momentum generates
a repulsive (centrifugal) potential

② $\Phi_m(\varphi)$ provides the angular part of any
rotationally invariant Hamiltonian in 2D

To solve the equation, we need to know $V(r)$.

You'll do some examples in the weeks to come!



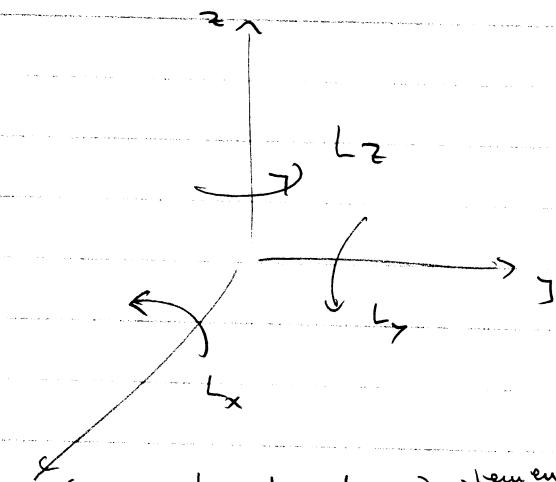
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WHAT ABOUT 3D?

$$L_z = X P_y - Y P_x$$

$$L_y = Z P_x - X P_z$$

$$L_x = Y P_z - Z P_y$$



L_x, L_y, L_z implement
the rotations in
tilted space

\downarrow

$[L_i, L_j] = i \hbar \epsilon_{ijk} L_k$

$i, j, k = x, y, z$

ANGULAR MOMENTUM COMMUTATION RELATIONS

$$\epsilon_{ijk} = \begin{cases} 0 & \text{if } i=j \text{ or } i=k \text{ or } j=k \\ 1 & \text{if } (ijk) \text{ a cyclic permutation of } (x,y,z) \\ -1 & \text{otherwise} \end{cases}$$

"SU(2) ALGEBRA"

$$\vec{L} = (L_x, L_y, L_z) = L_x \hat{x} + L_y \hat{y} + L_z \hat{z}$$

$$|\vec{L}|^2 = L^2 = L_x^2 + L_y^2 + L_z^2$$

$$[L^2, L_i] = 0 \quad i = x, y, z$$

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ROTATIONAL INVARIANCE IN 3D

$$\langle \Psi_{\text{rel}} | H | \Psi_{\text{rel}} \rangle = \langle \Psi_{\text{1f}} | H | \Psi \rangle$$

↓

$$U^+ [R] H U [R] = H$$

↓

$$[H, L_i] = 0 \quad i = x, y, z$$

↓

$$[H, L^2] = 0$$

↓

$$H, L_z, L^2 \text{ COMPATIBLE}$$

SPECIFY A UNIQUE BASIS